IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS MARSHALL DIVISION

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IN RE: TAASERA LICENSING LLC,	§ Case No. 2:22-MD-03042-JRG
PATENT LITIGATION	§
	§ JURY TRIAL DEMANDED
THIS DOCUMENT RELATES TO	<u> </u>
CASE NO. 2:22-cv-00314-JRG	8
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	§
PALO ALTO NETWORKS, INC.,	§ Case No. 2:22-cv-00314-JRG
	§
Plaintiff,	§ JURY TRIAL DEMANDED
	§
v.	§
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TAASERA LICENSING LLC AND QUEST	Š
PATENT RESEARCH CORPORATION,	8
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Defendants.	8 8
Defendants.	8

DEFENDANT TAASERA LICENSING LLC'S ANSWER TO PLAINTIFF PALO ALTO NETWORKS, INC.'S AMENDED COMPLAINT FOR DECLARATORY JUDGMENT OF NON-INFRINGEMENT AND COUNTERCLAIMS

Defendant Taasera Licensing LLC ("Taasera" or "Defendant"), hereby responds to the Amended Complaint for Declaratory Judgment of Non-Infringement of Plaintiff Palo Alto Networks, Inc. ("Palo Alto" or "Plaintiff"). Taasera denies the allegations and characterizations in the Amended Complaint, unless expressly admitted in the following paragraphs. Taasera's specific responses to the numbered allegations of the Amended Complaint are in the below numbered paragraphs as follows.

NATURE OF THE ACTION

1. Taasera is without knowledge or information sufficient to form a belief as to the truth of the allegations in paragraph 1 of the Amended Complaint and therefore denies them.

THE PARTIES

- 2. Taasera is without knowledge or information sufficient to form a belief as to the truth of the allegations in paragraph 2 of the Amended Complaint and therefore denies them.
- 3. Taasera admits that it is a limited liability company organized and existing under the laws of the State of Texas. Except as expressly admitted, Taasera denies the allegations in paragraph 3 of the Amended Complaint.
- 4. Taasera admits that a recent SEC filing by Quest identifies Taasera Licensing as a wholly-owned subsidiary of Quest. Except as expressly admitted, Taasera denies the allegations in paragraph 4 of the Amended Complaint.

JURISDICTION AND VENUE

- 5. Taasera admits that this is an action for patent infringement arising under the patent laws of the United States, 35 U.S.C. §§ 1, *et seq*. Taasera admits that this Court has jurisdiction over this action pursuant to 28 U.S.C. §§ 1331 and 1338(a).
 - 6. Denied.
 - 7. Denied.
 - 8. Denied.
- 9. Taasera admits that Quest stated in a recent SEC filing, "Since the operating subsidiaries do not have any assets other than the patents, and the Company does not have any available financial resources to pay any judgment which a defendant may obtain against a subsidiary, such a judgment may result in the bankruptcy of the subsidiary and/or the loss of the patents, which are the subsidiaries' only assets." Quest Patents Research Corporation, Form 10-Q for the period ending March 31, 2021, at p.20. Except as expressly admitted, Taasera denies the allegations in paragraph 9 of the Amended Complaint.

10. Denied.

FACTUAL ALLEGATIONS

THE PATENTS-IN-SUIT

- 11. Taasera admits that the '796 Patent states on its cover that it was issued on January 11, 2005 to named inventors Geoffrey G. Zweig of Greenwich, Connecticut; and Mukund Padmanabhan of Plains, New York.
- 12. Taasera admits that the '137 Patent states on its cover that it was issued on March 2, 2010 to named inventors Thomas James Satterlee of Felton, California; and William Frank Hackenberger of Los Altos, California.
- 13. Taasera admits that the '356 Patent states on its cover that it was issued on February 28, 2012 to named inventors Frederic G. Thiele of Broomfield, Colorado; and Michael A. Walter of Longmont, Colorado.
- 14. Taasera admits that the '441 Patent states on its cover that it was issued on December 4, 2012 to named inventors Srinivas Kumar of Cupertino, California; and Gurudatt Shashikumar of Foster City, California.
- 15. Taasera admits that the '517 Patent states on its cover that it was issued on September 30, 2014 to named inventor Srinivas Kumar of Cupertino, California.
- 16. Taasera admits that the '038 Patent states on its cover that it was issued on February 10, 2015 to named inventors Blair Nicodemus of North Wales, Pennsylvania; and Billy Edison Stephens of West Chester, Pennsylvania.
- 17. Taasera admits that the '948 Patent states on its cover that it was issued on March 24, 2015 to named inventors Srinivas Kumar of Cupertino, California; and Dennis Pollutro of Clymer, New York.

- 18. Taasera admits that the '616 Patent states on its cover that it was issued on July 28, 2015 to named inventors Srinivas Kumar of Cupertino, California; and Dennis Pollutro of Clymer, New York.
- 19. Taasera admits that the '918 Patent states on its cover that it was issued on March 20, 2018 to named inventors Blair Gaver Nicodemus of North Wales, Pennsylvania; and Billy Edison Stephens of West Chester, Pennsylvania.

DISPUTE BETWEEN PALO ALTO NETWORKS AND TAASERA LICENSING CONCERNING THE PATENTS-IN-SUIT

- 20. Taasera admits that on February 25, 2022, Taasera Licensing, by and through its attorneys, filed a lawsuit against Palo Alto Networks in the United States District Court for the Eastern District of Texas. *See* Complaint, *Taasera Licensing LLC v. Palo Alto Networks, Inc.*, No. 2:22-cv-00062- JRG-RSP (E.D. Tex. Feb. 25, 2022). Taasera admits that Taasera Licensing's Complaint alleges that Palo Alto Networks infringes the Patents-in-Suit and provides "examples" of allegedly infringing Palo Alto Networks products: Palo Alto Cortex XDR, PAN-OS, and Next Generation Firewalls (NGFW). (collectively, the "Accused Products"). *See*, *e.g.*, *id.* ¶ 30. Taasera admits that on March 18, 2022, after having served Palo Alto Networks with that Complaint, Taasera Licensing unilaterally and voluntarily dismissed that action without prejudice.
- 21. Taasera admits that an actual and justiciable controversy exists between Palo Alto Networks and Taasera concerning whether Palo Alto Networks infringes one or more claims of any of the Patents-in-Suit. Except as expressly admitted, Taasera denies the allegations in paragraph 21 of the Amended Complaint.

CLAIMS FOR RELIEF

COUNT ONE

(Declaratory Judgment of Non-Infringement of the '796 Patent)

- 22. Taasera incorporates by reference its responses contained in the foregoing paragraphs, as if fully set forth herein.
 - 23. Denied.
- 24. Taasera admits that claim 1 of the '796 Patent recites "identifying at least a portion of information associated with the at least one regularly identifiable expression; and extracting the portion of information." Except as expressly admitted, Taasera denies the allegations in paragraph 24 of the Amended Complaint.
 - 25. Denied.

COUNT TWO

(Declaratory Judgment of Non-Infringement of the '137 Patent)

- 26. Taasera incorporates by reference its responses contained in the foregoing paragraphs, as if fully set forth herein.
 - 27. Denied.
- 28. Taasera admits that claim 6 of the '137 Patent recites "interrupting the loading of a new program for operation with the computing device." Except as expressly admitted, Taasera denies the allegations in paragraph 28 of the Amended Complaint.
 - 29. Denied.

COUNT THREE

(Declaratory Judgment of Non-Infringement of the '356 Patent)

- 30. Taasera incorporates by reference its responses contained in the foregoing paragraphs, as if fully set forth herein.
 - 31. Denied.

- 32. Taasera admits that claim 1 of the '356 Patent recites "second program instructions to determine if the packet is addressed to a broadcast IP address of a network." Except as expressly admitted, Taasera denies the allegations in paragraph 32 of the Amended Complaint.
 - 33. Denied.

COUNT FOUR(Declaratory Judgment of Non-Infringement of the '441 Patent)

- 34. Taasera incorporates by reference its responses contained in the foregoing paragraphs, as if fully set forth herein.
 - 35. Denied.
- 36. Taasera admits that claim 1 of the '441 Patent recites "receiving, by the attestation server ... a security context providing security information about the application, wherein the security information comprises an execution analysis of the one or more executable file binaries and the loaded components." Except as expressly admitted, Taasera denies the allegations in paragraph 36 of the Amended Complaint.
 - 37. Denied.

<u>COUNT FIVE</u> (Declaratory Judgment of Non-Infringement of the '517 Patent)

- 38. Taasera incorporates by reference its responses contained in the foregoing paragraphs, as if fully set forth herein.
 - 39. Denied.
- 40. Taasera admits that claim 1 of the '517 Patent recites "storing, in a rules database, a plurality of rules, wherein each rule identifies an action sequence ... the action sequence is a sequence of at least two performed actions, and each performed action is at least one of: a user action, an application action, and a system action." Except as expressly admitted, Taasera denies the allegations in paragraph 40 of the Amended Complaint.

41. Denied.

COUNT SIX(Declaratory Judgment of Non-Infringement of the '038 Patent)

- 42. Taasera incorporates by reference its responses contained in the foregoing paragraphs, as if fully set forth herein.
 - 43. Denied.
- 44. Taasera admits that claim 1 of the '038 Patent recites "maintaining the plurality of policies in a data store on the computing system; identifying, from the plurality of policies, a plurality of operating conditions on the endpoint to monitor; configuring one or more software agents on the endpoint to monitor the plurality of operating conditions; receiving, across a network, at the computing system, status information about the plurality of operating conditions on the endpoint gathered by the one or more software agents." Except as expressly admitted, Taasera denies the allegations in paragraph 44 of the Amended Complaint.

45. Denied.

COUNT SEVEN (Declaratory Judgment of Non-Infringement of the '948 Patent)

- 46. Taasera incorporates by reference its responses contained in the foregoing paragraphs, as if fully set forth herein.
 - 47. Denied.
- 48. Taasera admits that claim 1 of the '948 Patent recites "generating real-time behavior based events for determining the real-time operational integrity of the application executing on the native computing environment which includes a network analyzer, an integrity processor, an event correlation matrix, a risk correlation matrix, and a trust supervisor." Except as expressly admitted, Taasera denies the allegations in paragraph 48 of the Amended Complaint.
 - 49. Denied.

COUNT EIGHT (Declaratory Judgment of Non-Infringement of the '616 Patent)

- 50. Taasera incorporates by reference its responses contained in the foregoing paragraphs, as if fully set forth herein.
 - 51. Denied.
- 52. Taasera admits that claim 1 of the '616 Patent recites "[a] method of providing an attestation service for providing runtime operational integrity of a system using a computing platform comprising a network trust agent, an endpoint trust agent, and a trust orchestration server, the method comprising." Except as expressly admitted, Taasera denies the allegations in paragraph 52 of the Amended Complaint.
 - 53. Denied.

COUNT NINE (Declaratory Judgment of Non-Infringement of the '918 Patent)

- 54. Taasera incorporates by reference its responses contained in the foregoing paragraphs, as if fully set forth herein.
 - 55. Denied.
- 56. Taasera admits that claim 1 of the '918 Patent recites "maintaining the plurality of policies in a data store on the computing system; identifying, from the plurality of policies, a plurality of operating conditions on the endpoint to evaluate; configuring one or more software services provided by an operating system on the endpoint to monitor the plurality of operating conditions; receiving, across a network, at the computing system, status information about the plurality of operating conditions on the endpoint, gathered by the one or more software services on the endpoint." Except as expressly admitted, Taasera denies the allegations in paragraph 56 of the Amended Complaint.
 - 57. Denied.

DEMAND FOR JURY TRIAL

Plaintiff's demand for a jury trial does not require a response by Taasera. Taasera also requests a jury trial of all issues triable to a jury in this action.

PRAYER FOR RELIEF

Taasera denies that Plaintiff is entitled to any of the requested relief and denies any and all allegations contained within the Prayer for Relief of the Amended Complaint.

AFFIRMATIVE DEFENSES

Subject to the responses above, Defendant contends and asserts the following defenses in response to the allegations in the Complaint. By asserting these affirmative defenses, Defendant does not admit that it bears the burden of proof on any issue and does not accept any burden it would not otherwise bear. In addition to the affirmative and other defenses described below, and subject to the responses above, Defendant intends to conduct discovery and specifically reserves all rights to assert additional affirmative and other defenses consistent with the facts that become known through the course of discovery or otherwise. For its affirmative defenses, Defendant alleges as follows:

FIRST AFFIRMATIVE DEFENSE (FAILURE TO STATE A CLAIM)

Plaintiff has failed to state a claim against Defendant upon which relief can be granted. For example, the Complaint fails to make more than bare conclusory allegations regarding non-infringement of the Patents-in-Suit.

SECOND AFFIRMATIVE DEFENSE (WAIVER, LACHES, AND ESTOPPEL)

Plaintiff is barred from recovery, in whole or in part, by the doctrines of waiver, laches, or estoppel.

THIRD AFFIRMATIVE DEFENSE (NO EXCEPTIONAL CASE)

Plaintiff cannot prove that this is an exceptional case justifying an award of attorneys' fees against Defendant pursuant to 35 U.S.C. § 285.

FOURTH AFFIRMATIVE DEFENSE (NO PERSONAL JURISDICTION)

The Southern District of New York lacks personal jurisdiction over Defendant.

FIFTH AFFIRMATIVE DEFENSE (UNCLEAN HANDS)

Plaintiff's claims are barred by the doctrine of unclean hands.

SIXTH AFFIRMATIVE DEFENSE (INFRINGEMENT)

As set forth in the counterclaims herein, Plaintiff has infringed one or more valid and enforceable claims of the Patents-in-Suit.

RESERVATION OF DEFENSES

Defendant reserves the right to assert additional defenses in the event that discovery or other analyses indicate that additional defenses are warranted.

COUNTERCLAIMS

Taasera Licensing LLC ("Taasera Licensing"), through its counsel, hereby asserts the following Counterclaims against Plaintiff and Counterclaim Defendant Palo Alto Networks, Inc. ("Palo Alto"):

THE PARTIES

1. Taasera Licensing is a limited liability company organized and existing under the laws of the State of Texas, with its principal place of business located in Plano, Texas.

2. Palo Alto is a Delaware corporation having a principal place of business at 3000 Tannery Way, Santa Clara, CA 95054. On information and belief, Palo Alto also maintains a regional office in the Eastern District of Texas – located at 3901 Dallas Parkway, Plano, Texas 75093. Counterclaim Defendant's Registered Agent for service of process in Texas is Corporation Service Company, 211 E. 7th Street, Suite 620, Austin, Texas 78701. Upon information and belief, Palo Alto does business in Texas and in the Eastern District of Texas, directly or through intermediaries, such as its subsidiaries.

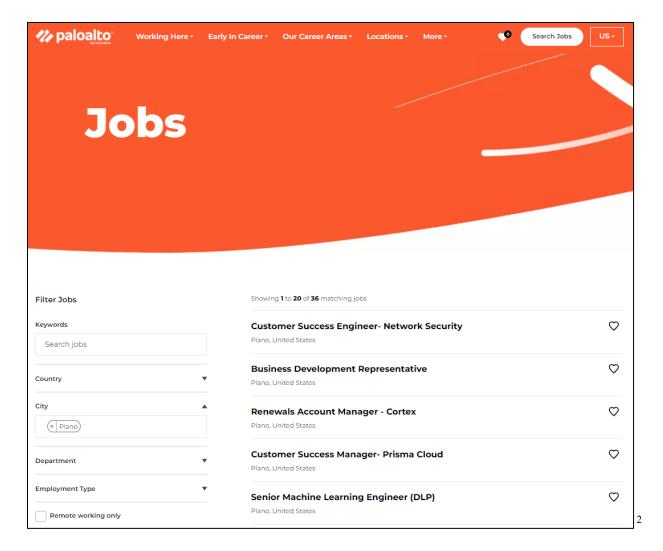
JURISDICTION

- 3. This is an action for patent infringement arising under the patent laws of the United States, 35 U.S.C. §§ 1, *et seq*. This Court has jurisdiction over this action pursuant to 28 U.S.C. §§ 1331 and 1338(a).
- 4. This Court has personal jurisdiction over Counterclaim Defendant. Counterclaim Defendant regularly conducts business and has committed acts of patent infringement and/or has induced acts of patent infringement by others in this Judicial District and/or has contributed to patent infringement by others in this Judicial District, the State of Texas, and elsewhere in the United States. Upon information and belief, Palo Alto conducts business at its regional office located at 3901 North Dallas Parkway, Plano, Texas 75093.



Counterclaim Defendant currently lists 36 open positions in Plano, Texas.

 $^{^{1}\} https://www.google.com/maps/place/3901+Dallas+Pkwy,+Plano,+TX+75093/@33.0500257,-96.8305683,3a,75y,272.88h,88.92t/data=!3m6!1e1!3m4!1sppi-gdeX8kmdt4Sa6eDp4A!2e0!7i16384!8i8192!4m5!3m4!1s0x864c235e3c99c319:0x74e053e88e5db1f3!8m2!3d33.05023!4d-96.8314989$



5. Venue is proper in this Judicial District pursuant to 28 U.S.C. § 1391(b) and (c) and 28 U.S.C. § 1400(b) because the Counterclaim Defendant has regular and systematic contacts within this Judicial District, has a regular and established place of business in this Judicial District, and has committed acts of infringement in this Judicial District. The Counterclaim Defendant, through its own acts, makes, uses, sells, and/or offers to sell infringing products within this Judicial District, regularly does and solicits business in this Judicial District, and has the requisite minimum contacts within the Judicial District such that this venue is a fair and reasonable one. Upon information and belief, Counterclaim Defendant directly or indirectly participated and continues

² https://jobs.paloaltonetworks.com/en/jobs/?search=&location=Plano

to participate in the stream of commerce that results in products, including the Accused Products, being made, used, offered for sale, and/or sold in the State of Texas and/or imported into the United States to the State of Texas.

6. Counterclaim Defendant is subject to this Court's jurisdiction pursuant to due process and/or the Texas Long Arm Statute due at least to its substantial business in this State and Judicial District, including (a) at least part of its past infringing activities, (b) regularly doing or soliciting business in Texas, and/or (c) engaging in persistent conduct and/or deriving substantial revenue from goods and services provided to customers in Texas.

PATENTS-IN-SUIT

- 7. On January 11, 2005, the United States Patent and Trademark Office duly and legally issued U.S. Patent No. 6,842,796 (the "'796 Patent") entitled "Information Extraction from Documents with Regular Expression Matching." A true and correct copy of the '796 Patent is attached hereto as Exhibit A.
- 8. On March 2, 2010, the United States Patent and Trademark Office duly and legally issued U.S. Patent No. 7,673,137 (the "'137 Patent") entitled "System and Method for the Managed Security Control of Processes on a Computer System." A true and correct copy of the '137 Patent is attached hereto as Exhibit B.
- 9. On February 28, 2012, the United States Patent and Trademark Office duly and legally issued U.S. Patent No. 8,127,356 (the "'356 Patent") entitled "System, Method and Program Product for Detecting Unknown Computer Attacks." A true and correct copy of the '356 Patent is attached hereto as Exhibit C.
- 10. On December 4, 2012, the United States Patent and Trademark Office duly and legally issued U.S. Patent No. 8,327,441 (the "'441 Patent") entitled "System and Method for

Application Attestation." A true and correct copy of the '441 Patent is attached hereto as Exhibit D.

- 11. On September 30, 2014, the United States Patent and Trademark Office duly and legally issued U.S. Patent No. 8,850,517 (the "'517 Patent") entitled "Runtime Risk Detection Based on User, Application, and System Action Sequence Correlation." A true and correct copy of the '517 Patent is attached hereto as Exhibit E.
- 12. On February 10, 2015, the United States Patent and Trademark Office duly and legally issued U.S. Patent No. 8,955,038 (the "'038 Patent") entitled "Methods and Systems for Controlling Access to Computing Resources Based on Known Security Vulnerabilities." A true and correct copy of the '038 Patent is attached hereto as Exhibit F.
- 13. On March 24, 2015, the United States Patent and Trademark Office duly and legally issued U.S. Patent No. 8,990,948 (the "'948 Patent") entitled "Systems and Methods for Orchestrating Runtime Operational Integrity." A true and correct copy of the '948 Patent is attached hereto as Exhibit G.
- 14. On July 28, 2015, the United States Patent and Trademark Office duly and legally issued U.S. Patent No. 9,092,616 (the "'616 Patent") entitled "Systems and Methods for Threat Identification and Remediation." A true and correct copy of the '616 Patent is attached hereto as Exhibit H.
- 15. On March 20, 2018, the United States Patent and Trademark Office duly and legally issued U.S. Patent No. 9,923,918 (the "'918 Patent") entitled "Methods and Systems for Controlling Access to Computing Resources Based on Known Security Vulnerabilities." A true and correct copy of the '918 Patent is attached hereto as Exhibit I.

16. Taasera Licensing is the sole and exclusive owner of all right, title, and interest in the '796 Patent, the '137 Patent, the '356 Patent, the '441 Patent, the '517 Patent, the '038 Patent, the '948 Patent, the '616 Patent, and the '918 Patent (collectively, the "Patents-in-Suit"), and holds the exclusive right to take all actions necessary to enforce its rights to the Patents-in-Suit, including the filing of this patent infringement lawsuit. Taasera Licensing also has the right to recover all damages for past, present, and future infringement of the Patents-in-Suit and to seek injunctive relief as appropriate under the law.

FACTUAL ALLEGATIONS

- 17. The Patents-in-Suit generally cover systems and methods for network security systems.
- 18. Five of the Patents-in-Suit were invented by International Business Machines ("IBM"). IBM pioneered the field of network security. Every year, IBM spends billions of dollars on research and development to invent, market, and sell new technology, and IBM obtains patents on many of the novel inventions that come out of that work, including the Patents-in-Suit. The five patents invented by IBM are the result of the work from 8 different researchers, spanning over a decade.
- 19. Four of the Patents-in-Suit were developed by TaaSera, Inc. TaaSera, Inc. was a leader in preemptive breach detection systems, and comprised of security architects and subject matter experts with decades of experience in firewalls, intrusion detection, security event management, malware analysis, and endpoint security. The TaaSera, Inc. patents identify patterns of malicious coordinated network and endpoint behaviors.

- 20. The '796 Patent generally relates to technology that extracts information from documents with regular expression matching. The technology described in the '796 Patent was developed by Geoffrey G. Zweig and Mjkund Padmanabhan of IBM.
- 21. The '137 Patent generally relates to technology that acts based on known security vulnerabilities to ensure endpoint compliance. The technology described in the '137 Patent was developed by Thomas James Satterlee and William Frank Hackenberger of IBM.
- 22. The '356 Patent generally relates to technology that determines whether a packet is a new, exploit candidate. The technology described in the '356 Patent was developed by Frederic G. Thiele and Michael A. Walter of IBM.
- 23. The '441 Patent generally relates to technology for application attestation. The technology described in the '441 Patent was developed by Srinivas Kumar and Gurudatt Shashikumar of TaaSera, Inc.
- 24. The '517 Patent generally relates to runtime risk detection based on user, application, and/or system actions. The technology described in the '517 Patent was developed by Srinivas Kumar of TaaSera, Inc.
- 25. The '038 Patent generally relates to technology that acts based on known security vulnerabilities to ensure endpoint compliance. The technology described in the '038 Patent was developed by Blair Nicodemus and Billy Edison Stephens of IBM.
- 26. The '948 Patent generally relates to technology that provides runtime operational integrity profiles identifying a threat level of subjects or applications. The technology described in the '948 Patent was developed by Srinivas Kumar and Dennis Pollutro of TaaSera, Inc.

- 27. The '616 Patent generally relates to technology that provides integrity profiles identifying a threat level of a system. The technology described in the '616 Patent was developed by Srinivas Kumar and Dennis Pollutro of TaaSera, Inc.
- 28. The '918 Patent generally relates to technology that controls access to computing resources based on known security vulnerabilities. The technology described in the '918 Patent was developed by Blair Nicodemus and Billy Edison Stephens of IBM.
- 29. Counterclaim Defendant has infringed and continues to infringe one or more of the Patents-in-Suit by making, using, selling, offering to sell, and/or importing, and by actively inducing others to make, use, sell, offer to sell, and/or import products that implement the network security inventions claimed in the Patents-in Suit. For example, the Accused Products include at least Palo Alto Cortex XDR, Pan-OS, and Next Generation Firewalls (NGFW).
- 30. TaaSera, Inc. manufactured commercial and academic versions of its NetTrust Security Appliance. NetTrust combined breach detection with security analytics to identify hidden threatening network behaviors. The analytics engine analyzed behavioral profiles, threat patterns, and contextual evidence to rank systems by their risk of breach.
- 31. Upon information and belief, Taasera Licensing and its predecessors have complied with the requirements of 35 U.S.C. § 287(a).

COUNT I (Infringement of the '796 Patent)

- 32. Paragraphs 1 through 31 are incorporated by reference as if fully set forth herein.
- 33. Counterclaim Defendant is not licensed or otherwise authorized to make, use, offer for sale, sell, or import any products that embody the inventions of the '796 Patent.
- 34. Counterclaim Defendant has and continues to directly infringe the '796 Patent, either literally or under the doctrine of equivalents, without authority and in violation of 35 U.S.C.

§ 271, by making, using, offering to sell, selling, and/or importing into the United States products that satisfy each and every limitation of one or more claims of the '796 Patent. Such products incorporate the Data Filtering based on Data Patterns feature and include at least all of the Palo Alto Next Generation Firewalls utilizing PAN-OS (the "'796 Accused Products") which practice a method of automatically processing an input sequence of data symbols, the method comprising the steps of: identifying at least one regularly identifiable expression in the input sequence of data symbols, wherein the at least one regularly identifiable expression represents a pattern that is matchable in accordance with a programming language that supports such a regularly identifiable expression; identifying at least a portion of information associated with the at least one regularly identifiable expression; and extracting the portion of information.

35. Every '796 Accused Product practices automatically processing an input sequence of data symbols. For example, the Palo Alto PAN-OS performs Data Filtering based on Data Patterns.

	Objects > Data Patterns to define the categories of sensitive information that your properties of sensitive information and defining data filtering profiles, select Objects > Security Profiles >	
You can create three type:	s of data patterns for the firewall to use when scanning for sensitive information	
Regular Expression—C	redefined data patterns to scan files for social security and credit card numbers. reate custom data patterns using regular expressions. iles for specific file properties and values.	
Data Pattern Settings	Description	
Name	Enter the data pattern name (up to 31 characters). The name case-sensitive and must be unique. Use only letters, numbers, spaces, hyphens, and underscores.	
Description	Enter a description for the data pattern (up to 255 characters).	
	Select this option if you want the data pattern to be available to:	
Shared	Select this option if you want the data pattern to be available to:	
Shared	 Every virtual system (vsys) on a multi-vsys firewall. If you clear this selection, the data pattern will be available only to the Virtual System selected in the Objects tab. Every device group on Panorama. If you clear this selection, the data pattern will be available only to the Device Group selected in the Objects tab. 	

 $^{^3\} https://docs.paloaltonetworks.com/content/dam/techdocs/en_US/pdf/pan-os/8-1/pan-os-web-interface-help/pan-os-web-int$

36. Every '796 Accused Product practices identifying at least one regularly identifiable expression in the input sequence of data symbols, wherein the at least one regularly identifiable expression represents a pattern that is matchable in accordance with a programming language that supports such a regularly identifiable expression. For example, Palo Alto PAN-OS enforces Data Filtering rules created using pre-defined and regular expressions.

Data Pattern Settings

Select Objects > Custom Objects > Data Patterns to define the categories of sensitive information that you may want to filter. For information on defining data filtering profiles, select Objects > Security Profiles > Data Filtering.

You can create three types of data patterns for the firewall to use when scanning for sensitive information:

- **Predefined**—Use the predefined data patterns to scan files for social security and credit card numbers.
- Regular Expression—Create custom data patterns using regular expressions.
- File Properties—Scan files for specific file properties and values.

Data Pattern Settings	Description	
Name	Enter the data pattern name (up to 31 characters). The name case-sensitive and must be unique. Use only letters, numbers, spaces, hyphens, and underscores.	
Description	Enter a description for the data pattern (up to 255 characters).	
Shared	Select this option if you want the data pattern to be available to:	
	 Every virtual system (vsys) on a multi-vsys firewall. If you clear this selection, the data pattern will be available only to the Virtual System selected in the Objects tab. 	
	 Every device group on Panorama. If you clear this selection, the data pattern will be available only to the Device Group selected in the Objects tab. 	
Disable override (Panorama only)	Select this option to prevent administrators from overriding the settings of this data pattern object in device groups that inherit the object. This	

⁴ *Id*.

Data Pattern Settings	Description	
	selection is cleared by default, which means administrators can override the settings for any device group that inherits the object.	
Pattern Type	Select the type of data pattern you want to create: Predefined Pattern Regular Expression File Properties	
Predefined Pattern	Palo Alto Networks provides predefined data patterns to scan for certain types of information in files, for example, for credit card numbers or social security numbers. To configure data filtering based on a predefined pattern, Add a pattern and select the following:	
	 Name—Select a predefined pattern to use to filter for sensitive data. When you pick a predefined pattern, the Description populates automatically. Select the File Type in which you want to detect the predefined pattern. 	
Regular Expression	Add a custom data pattern. Give the pattern a descriptive Name, set the File Type you want to scan for the data pattern, and enter the regular expression that defines the Data Pattern.	
	For regular expression data pattern syntax details and examples, see: • Syntax for Regular Expression Data Patterns	
	Regular Expression Data Pattern Examples	
File Properties	Build a data pattern to scan for file properties and the associated values. For example, Add a data pattern to filter for Microsoft Word documents and PDFs where the document title includes the words "sensitive", "internal", or "confidential".	
	 Give the data pattern a descriptive Name. Select the File Type that you want to scan. Select the File Property that you want to scan for a specific value. Enter the Property Value for which you want to scan. 	

37. Every '796 Accused Product practices identifying at least a portion of information associated with the at least one regularly identifiable expression and extracting the portion of information. For example, Palo Alto PAN-OS extracts filtered information for Data Capture.

⁵ *Id*.

Objects > Security Profiles > Data Filtering

Data filtering enables the firewall to detect sensitive information—such as credit card or social security numbers or internal corporate documents—and prevent this data from leaving a secure network. Before you enable data filtering, select Objects > Custom Objects > Data Patterns to define the type of data you want to filter (such as social security numbers or document titles that contain the word "confidential"). You can add several data pattern objects to a single Data Filtering profile and, when attached to a Security policy rule, the firewall scans allowed traffic for each data pattern and blocks matching traffic based on the data filtering profile settings.

Data Filtering Profile Description Settings		
Name Enter a profile name (up to 31 characters). This name appears in the list of		
Data Capture	Select this option to automatically collect the data that is blocked by the filter. Specify a password for Manage Data Protection on the Settings page to view your captured data. Refer to Device > Setup > Management.	
Data Pattern	Add an existing data pattern to use for filtering or select New to configure a new data pattern object (Objects > Custom Objects > Data Patterns).	
Applications File Types	 Specify the applications to include in the filtering rule: Choose any to apply the filter to all of the listed applications. This selection does not block all possible applications, just the listed ones. Click Add to specify individual applications. Specify the file types to include in the filtering rule: 	
	 Choose any to apply the filter to all of the listed file types. This selection does not block all possible file types, just the listed ones. Click Add to specify individual file types. 	
Direction	Specify whether to apply the filter in the upload direction, download direction, or both.	
Alert Threshold	Specify the number of times the data pattern must be detected in a file to trigger an alert.	
Block Threshold	Block files that contain at least this many instances of the data pattern.	
Log Severity	Define the log severity recorded for events that match this data filtering profile rule.	

38. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '796 Patent by knowingly and intentionally inducing others, including Palo Alto subsidiaries, customers, and end-users, to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States

⁶ *Id*.

products that include infringing technology, such as '796 Accused Products (*e.g.*, products incorporating the Data Filtering based on Data Patterns feature).

- 39. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '796 Patent including, but not limited to, claim 1, by knowingly and intentionally inducing others to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States the infringing Accused Products. For example, Counterclaim Defendant, with the knowledge that these products, or the use thereof, infringe the '796 Patent at least as of the date of Taasera Licensing's Complaint against Palo Alto on February 25, 2022, knowingly and intentionally induced, and continues to knowingly and intentionally induce, direct infringement of the '796 Patent by providing these products to customers and end-users for use in an infringing manner.
- 40. Counterclaim Defendant induced infringement by others, including end-users, with the intent to cause infringing acts by others or, in the alternative, with the belief that there was a high probability that others, including end-users, infringe the '796 Patent, but while remaining willfully blind to the infringement. Counterclaim Defendant provides detailed information about how to use the Accused Products in the Palo Alto TECHDOCS and Palo Alto Customer Support Portal websites. Counterclaim Defendant provides product manuals and documentation that instruct customers and end-users how to use the Accused Products in an infringing manner, including specifically how to use PAN-OS Data Filtering.

⁷ https://docs.paloaltonetworks.com/; https://docs.paloaltonetworks.com/pan-os;

https://support.paloaltonetworks.com/Support/Index

 $^{^{8}\} https://docs.paloaltonetworks.com/pan-os/10-2/pan-os-admin/threat-prevention/set-up-data-filtering\ ;$

https://docs.paloaltonetworks.com/search#q=data%20filtering&hd=All%20PAN-

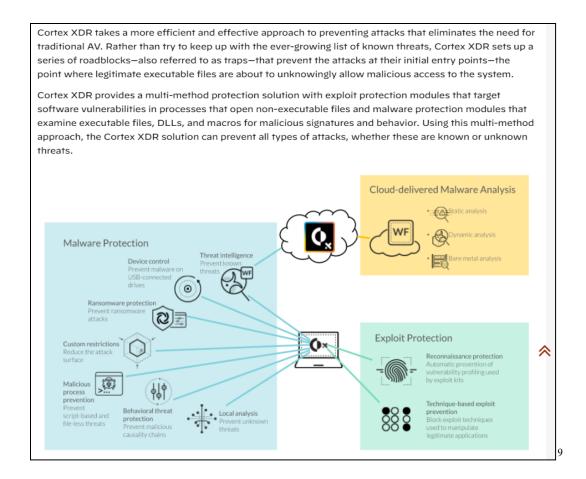
OS%20Documentation&hq=%40panproductcategory%3D%3D(%22PAN-

OS%22)&sort=relevancy&layout=card&numberOfResults=25;

- 41. Taasera Licensing has suffered damages as a result of Counterclaim Defendant's direct and indirect infringement of the '796 Patent in an amount to be proved at trial.
- 42. Taasera Licensing has suffered, and will continue to suffer, irreparable harm as a result of Counterclaim Defendant's infringement of the '796 Patent, for which there is no adequate remedy at law, unless Counterclaim Defendant's infringement is enjoined by this Court.

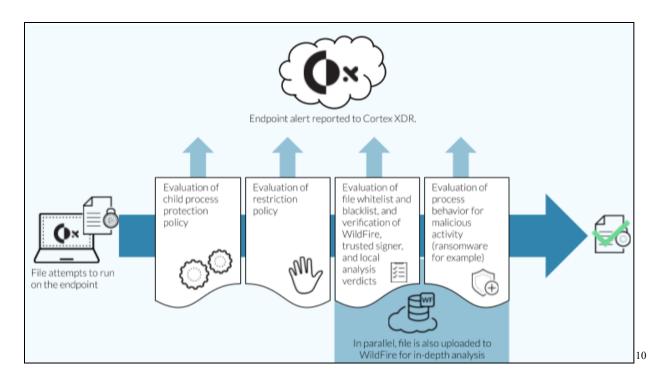
COUNT II (Infringement of the '137 Patent)

- 43. Paragraphs 1 through 31 are incorporated by reference as if fully set forth herein.
- 44. Counterclaim Defendant is not licensed or otherwise authorized to make, use, offer for sale, sell, or import any products that embody the inventions of the '137 Patent.
- 45. Counterclaim Defendant has and continues to directly infringe the '137 Patent, either literally or under the doctrine of equivalents, without authority and in violation of 35 U.S.C. § 271, by making, using, offering to sell, selling, and/or importing into the United States products that satisfy each and every limitation of one or more claims of the '137 Patent. Such products incorporate the Malware Protection feature and include at least Palo Alto Cortex XDR (the "'137 Accused Products") which practice a method for implementing security for a computing device comprising the steps of: interrupting the loading of a new program for operation with the computing device; validating the new program; if the new program is validated, permitting the new program to continue loading and to execute in connection with the computing device; if the new program is not validated, monitoring the new program while it loads and executes in connection with the computing device, wherein the step of monitoring the new program while it executes is performed at the operating system kernel of the computing device.
- 46. Every '137 Accused Product practices implementing security for a computing device. For example, the Palo Alto Cortex XDR performs malware protection.



47. Every '137 Accused Product practices interrupting the loading of a new program for operation with the computing device. For example, when a new program is executed, the Palo Alto Cortex XDR first checks if the new program (or any of its child processes) is known malware.

 $^{^9~}https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/endpoint-security/endpoint-security-concepts/about-cortex-xdr-protection.html\#$



- 48. Every '137 Accused Product practices permitting the new program to continue loading and executing in connection with the computing device if the new program is validated. For example, Palo Alto Cortex XDR permits new programs to run if the new program was developed by a trusted installer.
 - 2. Highly trusted signers (Windows and Mac)—The Cortex XDR agent distinguishes highly trusted signers such as Microsoft from other known signers. To keep parity with the signers defined in WildFire, Palo Alto Networks regularly reviews the list of highly trusted and known signers and delivers any changes with content updates. The list of highly trusted signers also includes signers that are included the allow list from Cortex XDR. When an unknown file attempts to run, the Cortex XDR agent applies the following evaluation criteria: Files signed by highly trusted signers are permitted to run and files signed by prevented signers are blocked, regardless of the WildFire verdict. Otherwise, when a file is not signed by a highly trusted signer or by a signer included in the block list, the Cortex XDR agent next evaluates the WildFire verdict. For Windows endpoints, evaluation of other known signers takes place if WildFire evaluation returns an unknown verdict for the file.

49. Every '137 Accused Product practices monitoring the new program while it loads and executing in connection with the computer device. For example, if the new program is not validated, Palo Alto Cortex XDR first evaluates the Wildfire Verdict. If no malicious behavior is

¹⁰ https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/endpoint-security/endpoint-security-concepts/analysis-and-protection-flow.html
¹¹ Id.

detected, Cortex XDR monitors the program while it loads and executes in connection with the endpoint.

Phase 4: Evaluation of Malware Security Policy

If the prior evaluation phases do not identify a file as malware, the Cortex XDR agent observes the behavior of the file and applies additional malware protection rules. If a file exhibits malicious behavior, such as encryption-based activity common with ransomware, the Cortex XDR agent blocks the file and reports the security event to the Cortex XDR.

If no malicious behavior is detected, the Cortex XDR agent permits the file (process) to continue running but continues to monitor the behavior for the lifetime of the process.

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Monitoring the new program while it executes is performed at the operating kernel of the computing device.

Cortex XDR Agent 7.0 for Linux PREVIOUS NEXT (→) B The Cortex XDR™ agent protects Linux servers by preventing known and unknown malware from running by halting any attempts to leverage software exploits and vulnerabilities to compromise the server. The agent also extends exploit and malware protection to processes that run in Linux containers. When you install the agent on a Linux server that uses containers, it automatically protects any new and existing containerized processes regardless of the container solution (for example, docker). Because Cortex XDR issues the license per Linux server, each container does not consume any additional The protection capabilities and features that the Cortex XDR agent for Linux enables depend, in part, on your security policy configuration and the kernel version that is installed. Protection capabilities such as Behavioral Threat Protection, ELF file analysis, and endpoint data collection and sharing for EDR all require a supported kernel version. If you deploy the Cortex XDR agent on a Linux server that is not running one of the kernel versions required for these additional protection capabilities, the agent will operate in asynchronous mode where: · Continuous event monitoring required for Behavioral Threat Protection is disabled. · Sharing endpoint activity data with Cortex apps is disabled. · ELF file examination occurs in parallel with the file execution. If the Cortex XDR agent obtains a malware verdict for the ELF file, it terminates the file execution. Security events for malware in asynchronous mode are assigned a high severity due to the potential for continued execution during the verdict request while security events in synchronous mode are medium severity. All other exploit and malware protection is enabled per your Linux security policy. 13

50. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '137 Patent by knowingly and intentionally inducing others, including Palo Alto subsidiaries, customers, and end-users, to directly infringe, either literally or under the doctrine of

¹² *Id*.

 $^{^{13}\} https://docs.paloaltonetworks.com/content/dam/techdocs/en_US/pdf/pan-os/8-1/pan-os-web-interface-help/pan-os-web-$

equivalents, by making, using, offering to sell, selling, and/or importing into the United States products that include infringing technology, such as '137 Accused Products (e.g., products incorporating the Malware Protection feature).

- 51. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '137 Patent including, by knowingly and intentionally inducing others to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States the infringing Accused Products. For example, Counterclaim Defendant, with the knowledge that these products, or the use thereof, infringe the '137 Patent at least as of the date of Taasera Licensing's Complaint against Palo Alto on February 25, 2022, knowingly and intentionally induced, and continues to knowingly and intentionally induce, direct infringement of the '137 Patent by providing these products to customers and endusers for use in an infringing manner.
- 52. Counterclaim Defendant induced infringement by others, including end-users, with the intent to cause infringing acts by others or, in the alternative, with the belief that there was a high probability that others, including end-users, infringe the '137 Patent, but while remaining willfully blind to the infringement. Counterclaim Defendant provides detailed information about how to use the Accused Products in the Palo Alto TECHDOCS and Palo Alto Customer Support Portal websites. ¹⁴ Counterclaim Defendant provides product manuals and documentation that instruct customers and end-users how to use the Accused Products in an infringing manner, including specifically how to use the Malware Protection feature. ¹⁵

¹⁴ https://docs.paloaltonetworks.com/; https://docs.paloaltonetworks.com/cortex/cortex-xdr; https://support.paloaltonetworks.com/Support/Index

https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin; https://docs.paloaltonetworks.com/search#q=malware%20protection&hd=All%20Cortex%20XDR%20Documentation&hq=%40panproductcategory%3D%3D(%22Cortex%20XDR%22)&sort=relevancy&layout=card&numberOfResults=25

- 53. Taasera Licensing has suffered damages as a result of Counterclaim Defendant's direct and indirect infringement of the '137 Patent in an amount to be proved at trial.
- 54. Taasera Licensing has suffered, and will continue to suffer, irreparable harm as a result of Counterclaim Defendant's infringement of the '137 Patent, for which there is no adequate remedy at law, unless Counterclaim Defendant's infringement is enjoined by this Court.

COUNT III (Infringement of the '356 Patent)

- 55. Paragraphs 1 through 31 are incorporated by reference as if fully set forth herein.
- 56. Counterclaim Defendant is not licensed or otherwise authorized to make, use, offer for sale, sell, or import any products that embody the inventions of the '356 Patent.
- 57. Counterclaim Defendant has and continues to directly infringe the '356 Patent, either literally or under the doctrine of equivalents, without authority and in violation of 35 U.S.C. § 271, by making, using, offering to sell, selling, and/or importing into the United States products that satisfy each and every limitation of one or more claims of the '356 Patent. Such products incorporate the PAN-OS and Wildfire software and include at least Palo Alto VM and PA Series Firewalls (the "'356 Accused Products") which are computer program products for automatically determining if a packet is a new, exploit candidate comprising: a computer-readable tangible storage device; first program instructions to determine if the packet is a known exploit; second program instructions to determine if the packet is network administration traffic; fourth program instructions, responsive to the packet being a known exploit OR the packet being addressed to a broadcast IP address of a network OR the packet being network administration traffic, to determine that the packet is not a new, exploit candidate; and fifth program instructions, responsive to the packet not being a known exploit AND the packet not being addressed to a

broadcast IP address of a network AND the packet not being network administration traffic AND the packet not being another type of traffic known to be benign, to determine and report that the packet is a new, exploit candidate; and wherein the first, second, third, fourth, and fifth program instructions are stored on the computer-readable tangible storage device.

58. Every '356 Accused Product comprises a computer-readable tangible storage device. For example, the PA-7080 NGFW has two 240 GB solid state drives.

Table 3: PA-7000 Series Hardware Specifications					
	PA-7000 NPC	PA-7080 Full System	PA-7050 Full System		
PA-7000-100G-NPC-A	SFP/SFP+ (8), QSFP+/QSFP28 (4)	SFP/SFP+ (80), QSFP+/QSFP28 (40)	SFP/SFP+ (48), QSFP+/QSFP28 (24)		
PA-7050-SMC-B PA-7080-SMC-B	-	SFP MGT (2), SFP HA1 (2), HSCI HA2/HA3 QSFP+/QSFP28 (2), RJ45 serial console (1), Micro USB serial console (1)			
PA-7000-LFC-A	-	480 GB SSD, system drive RAID1 (2 x 240 GB)			

59. Every '356 Accused Product comprises first program instructions to determine if the packet is a known exploit. For example, the PA-7080 NGFW also includes PAN-OS software 17 and performs signature matching.

 $^{^{16}} https://www.paloaltonetworks.com/apps/pan/public/downloadResource?pagePath=/content/pan/en_US/resources/datasheets/pa-7000-series$

¹⁷ *Id*.

Single Pass Parallel Processing (SP3) Architecture



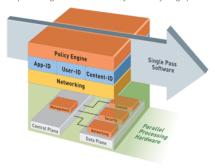
Due to the international chip shortage all Palo Alto PA series firewalls have a minimum lead time of 10-13 weeks

Palo Alto Networks next-generation firewalls are based on a unique Single Pass Parallel Processing (SP3) Architecture – which enables high-throughput, low-latency network security, even while incorporating unprecedented features and technology.

Palo Alto Networks solves the performance problems that plague today's security infrastructure with the SP3 architecture, which combines two complementary components:

- Single Pass software
- · Parallel Processing hardware

The results is the perfect mix of raw throughput, transaction processing and network security that today's high performance networks require.



Single Pass Software

Palo Alto Networks Single Pass software is designed to accomplish two key functions within the Palo Alto Networks next-generation firewall. First, the single pass software performs operations once per packet. As a packet is processed, networking functions, policy lookup, application identification and decoding, and signature matching for any and all threats and content are all performed just once. This significantly reduces the amount of processing overhead required to perform multiple functions in one security device. Second, the content scanning step in Palo Alto Networks' Single Pass software is stream-based, and uses uniform signature matching to detect and block threats. Instead of using separate engines and signature sets (requiring multi-pass scanning) and instead of using file proxies (requiring file download prior to scanning), the single pass software in our next-generation firewalls scans content once and in a stream-based fashion to avoid latency introduction.

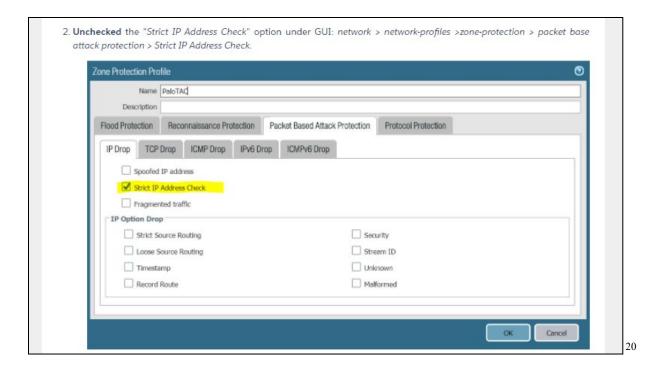
This Single Pass traffic processing enables very high throughput and low latency – with all security functions active. It also offers the additional benefit of a single, fully integrated policy, enabling simple, easier management of enterprise network security.

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60. Every '356 Accused Product comprises second program instructions to determine if the packet is addressed to a broadcast IP address of a network. For example, the PA-7080 NGFW includes PAN-OS software ¹⁹ which performs Strict IP Address Checks.

¹⁸ https://www.paloguard.com/SP3-Architecture.asp

¹⁹https://www.paloaltonetworks.com/apps/pan/public/downloadResource?pagePath=/content/pan/en_US/resources/d atasheets/pa-7000-series



- 61. Every '356 Accused Product comprises third program instructions to determine if the packet is network administration traffic. For example, the PA-7080 NGFW includes PAN-OS software²¹ which scans the MGT port for administration traffic.²²
- 62. Every '356 Accused Product comprises fourth program instructions, responsive to the packet being a known exploit OR the packet being addressed to a broadcast IP address of a network OR the packet being network administration traffic, to determine that the packet is not a new, exploit candidate. For example, the PA-7080 NGFW includes the Wildfire software²³ which has processes variants of known exploits.

 $^{^{20}} https://knowledgebase.paloaltonetworks.com/KCSArticleDetail?id=kA10g0000008U3FCAU\&lang=en_US\%E2\%80\%A9$

²¹https://www.paloaltonetworks.com/apps/pan/public/downloadResource?pagePath=/content/pan/en_US/resources/d atasheets/pa-7000-series

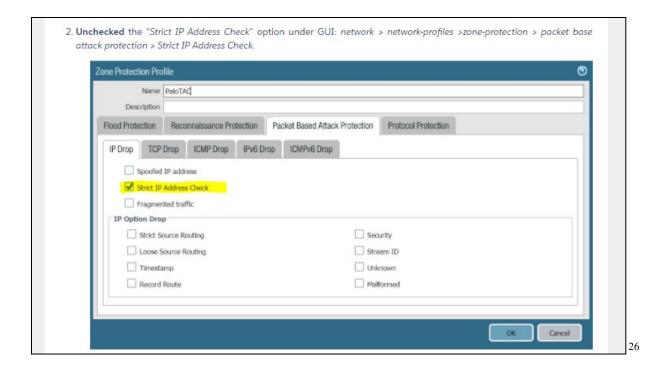
²² https://docs.paloaltonetworks.com/content/dam/techdocs/en_US/pdf/pan-os/9-1/pan-os-admin/pan-os-admin.pdf
²³https://www.paloaltonetworks.com/apps/pan/public/downloadResource?pagePath=/content/pan/en_US/resources/d atasheets/pa-7000-series



63. As another example, the PA-7080 NGFW includes PAN-OS software²⁵ which performs IP Drops.

²⁴ https://docs.paloaltonetworks.com/wildfire/9-0/wildfire-admin/submit-files-for-wildfire-analysis/forward-files-for-wildfire-analysis

²⁵https://www.paloaltonetworks.com/apps/pan/public/downloadResource?pagePath=/content/pan/en_US/resources/d atasheets/pa-7000-series



64. Every '356 Accused Product comprises fifth program instructions, responsive to the packet not being a known exploit AND the packet not being addressed to a broadcast IP address of a network AND the packet not being network administration traffic AND the packet not being another type of traffic known to be benign, to determine and report that the packet is a new, exploit candidate. For example, the PA-7080 NGFW includes Wildfire software²⁷ which determines whether the packet is a new, exploit candidate.

²⁶https://knowledgebase.paloaltonetworks.com/KCSArticleDetail?id=kA10g0000008U3FCAU&lang=en_US%E2% 80%A9

²⁷https://www.paloaltonetworks.com/apps/pan/public/downloadResource?pagePath=/content/pan/en_US/resources/d atasheets/pa-7000-series

Enable Free WildFire Forwarding

WildFire is a cloud-based virtual environment that analyzes and executes unknown samples (files and email links) and determines the samples to be malicious, phishing, grayware, or benign. With WildFire enabled, a Palo Alto Networks firewall can forward unknown samples to WildFire for analysis. For newly-discovered malware, WildFire generates a signature to detect the malware and distributes it to all firewalls with active WildFire subscription within minutes. This enables all Palo Alto next-generation firewalls worldwide to detect and prevent malware found by a single firewall. Malware signatures often match multiple variants of the same malware family, and as such, block new malware variants that the firewall has never seen before. The Palo Alto Networks threat research team uses the threat intelligence gathered from malware variants to block malicious IP addresses, domains, and URLs.

A basic WildFire service is included as part of the Palo Alto Networks next-generation firewall and does not require a WildFire subscription. With the basic WildFire service, you can enable the firewall to forward portable executable (PE) files. Additionally, if you do not have a WildFire subscription, but you do have a Threat Prevention subscription, you can receive signatures for malware WildFire identifies every 24- 48 hours (as part of the Antivirus updates).

Beyond the basic WildFire service, a WildFire subscription is required for the firewall to:

- Get the latest WildFire signatures within a minute of availability—new signatures are released every five minutes.
- · Forward advanced file types and email links for analysis.
- Use the WildFire API.
- Use a WildFire appliance to host a WildFire private cloud or a WildFire hybrid cloud.

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- 65. In every '356 Accused Product, the first, second, third, fourth, and fifth program instructions are stored on the computer-readable tangible storage device.
- 66. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '356 Patent by knowingly and intentionally inducing others, including Palo Alto subsidiaries, customers, and end-users, to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States products that include infringing technology, such as '356 Accused Products (*e.g.*, products incorporating the Palo Alto PAN-OS and Wildfire software).
- 67. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '356 Patent by knowingly and intentionally inducing others to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or

²⁸ https://docs.paloaltonetworks.com/content/dam/techdocs/en US/pdf/pan-os/9-1/pan-os-admin/pan-os-admin.pdf

importing into the United States the infringing Accused Products. For example, Counterclaim Defendant, with the knowledge that these products, or the use thereof, infringe the '356 Patent at least as of the date of Taasera Licensing's Complaint against Palo Alto on February 25, 2022, knowingly and intentionally induced, and continues to knowingly and intentionally induce, direct infringement of the '356 Patent by providing these products to customers and end-users for use in an infringing manner.

- 68. Counterclaim Defendant induced infringement by others, including end-users, with the intent to cause infringing acts by others or, in the alternative, with the belief that there was a high probability that others, including end-users, infringe the '356 Patent, but while remaining willfully blind to the infringement. Counterclaim Defendant provides detailed information about how to use the Accused Products in the Palo Alto TECHDOCS and Palo Alto Customer Support Portal websites.²⁹ Counterclaim Defendant provides product manuals and documentation that instruct customers and end-users how to use the Accused Products in an infringing manner, including specifically how to use PAN-OS and Wildfire.³⁰
- 69. Taasera Licensing has suffered damages as a result of Counterclaim Defendant's direct and indirect infringement of the '356 Patent in an amount to be proved at trial.
- 70. Taasera Licensing has suffered, and will continue to suffer, irreparable harm as a result of Counterclaim Defendant's infringement of the '356 Patent, for which there is no adequate remedy at law, unless Counterclaim Defendant's infringement is enjoined by this Court.

²⁹ https://docs.paloaltonetworks.com/; https://docs.paloaltonetworks.com/pan-os; https://docs.paloaltonetworks.com/wildfire; https://support.paloaltonetworks.com/Support/Index

³⁰ https://docs.paloaltonetworks.com/pan-os/10-2/pan-os-admin/threat-prevention/set-up-data-filtering;

https://docs.paloaltonetworks.com/search#q=data%20filtering&hd=All%20PAN-

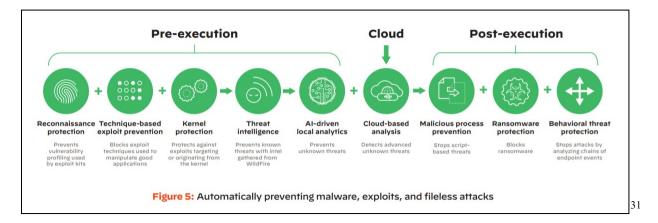
OS%20Documentation&hq=%40panproductcategory%3D%3D(%22PAN-

OS%22)&sort=relevancy&layout=card&numberOfResults=25; https://docs.paloaltonetworks.com/wildfire;

COUNT IV (Infringement of the '441 Patent)

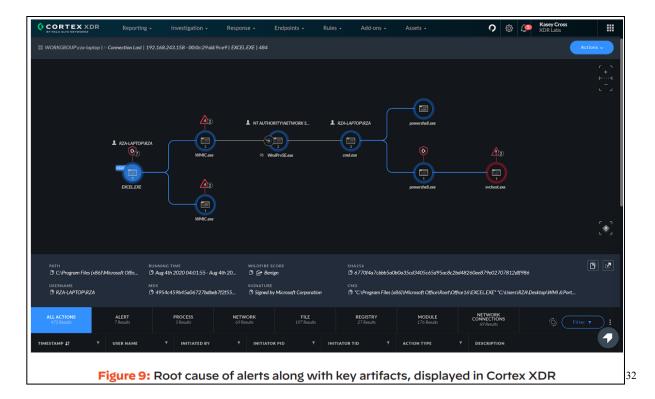
- 71. Paragraphs 1 through 31 are incorporated by reference as if fully set forth herein.
- 72. Counterclaim Defendant is not licensed or otherwise authorized to make, use, offer for sale, sell, or import any products that embody the inventions of the '441 Patent.
- 73. Counterclaim Defendant has and continues to directly infringe the '441 Patent, either literally or under the doctrine of equivalents, without authority and in violation of 35 U.S.C. § 271, by making, using, offering to sell, selling, and/or importing into the United States products that satisfy each and every limitation of one or more claims of the '441 Patent. Such products incorporate the Malicious Process Prevention and Behavioral Threat Protection features and include at least the Palo Alto Cortex XDR (the "'441 Accused Products") which practice a method of providing an attestation service for an application at runtime executing on a computing platform using an attestation server, comprising: receiving, by the attestation server remote from the computing platform: a runtime execution context indicating attributes of the application at runtime, wherein the attributes comprise one or more executable file binaries of the application and loaded components of the application; and a security context providing security information about the application, wherein the security information comprises an execution analysis of the one or more executable file binaries and the loaded components; generating, by the attestation server, a report indicating security risks associated with the application based on the received runtime execution context and the received security context, as an attestation result; and sending, by the attestation server, the attestation result associated with the application.
- 74. Every '441 Accused Product practices a method of providing an attestation service for an application at runtime executing on a computing platform using an attestation server. For

example, Palo Alto Cortex XDR incorporates malicious process prevention and behavioral threat protection to stop script-based threats and other attacks at runtime.



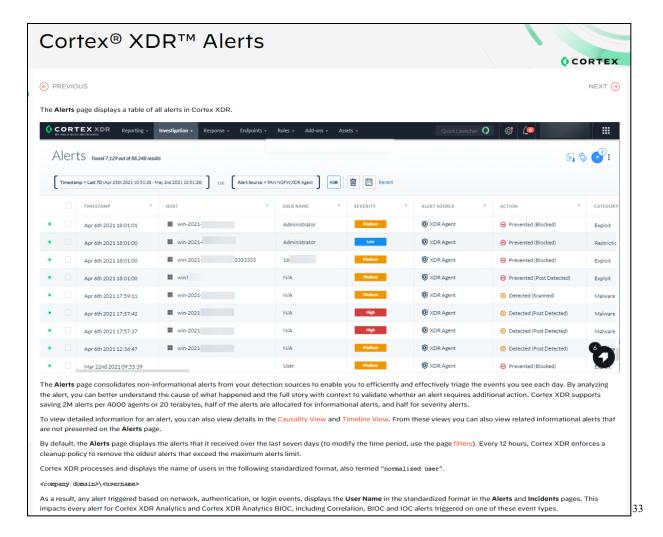
75. Every '441 Accused Product practices receiving, by the attestation server remote from the computing platform: a runtime execution context indicating attributes of the application at runtime, wherein the attributes comprise one or more executable file binaries of the application and loaded components of the application, and a security context providing security information about the application, wherein the security information comprises an execution analysis of the one or more executable file binaries and the loaded components. For example, Palo Alto Cortex XDR receives process attributes, context information, and processes behavior information for detected threats.

³¹ https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf



76. Every '441 Accused Product practices generating, by the attestation server, a report indicating security risks associated with the application based on the received runtime execution context and the received security context, as an attestation result and sending, by the attestation server, the attestation result associated with the application. For example, Palo Alto Cortex XDR generates alerts and logs information related to each detected threat, including the result of the detected threat.

³² https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf



- 77. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '441 Patent by knowingly and intentionally inducing others, including Palo Alto subsidiaries, customers, and end-users, to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States products that include infringing technology, such as '441 Accused Products (*e.g.*, products incorporating the malicious process prevention and behavioral threat protection features).
- 78. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '441 Patent by knowingly and intentionally inducing others to directly infringe, either

 $^{^{33}\} https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/investigation-and-response/investigate-endpoint-alerts/cortex-xdr-alerts.html$

literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States the infringing Accused Products. For example, Counterclaim Defendant, with the knowledge that these products, or the use thereof, infringe the '441 Patent at least as of the date of Taasera Licensing's Complaint against Palo Alto on February 25, 2022, knowingly and intentionally induced, and continues to knowingly and intentionally induce, direct infringement of the '441 Patent by providing these products to customers and end-users for use in an infringing manner.

- 79. Counterclaim Defendant induced infringement by others, including end-users, with the intent to cause infringing acts by others or, in the alternative, with the belief that there was a high probability that others, including end-users, infringe the '441 Patent, but while remaining willfully blind to the infringement. Counterclaim Defendant provides detailed information about how to use the Accused Products in the Palo Alto TECHDOCS and Palo Alto Customer Support Portal websites.³⁴ Counterclaim Defendant provides product manuals and documentation that instruct customers and end-users how to use the Accused Products in an infringing manner, including specifically how to use the malicious process prevention and behavioral threat protection features.³⁵
- 80. Taasera Licensing has suffered damages as a result of Counterclaim Defendant's direct and indirect infringement of the '441 Patent in an amount to be proved at trial.

³⁴ https://docs.paloaltonetworks.com/; https://docs.paloaltonetworks.com/cortex/cortex-xdr; https://support.paloaltonetworks.com/Support/Index

³⁵ https://docs.paloaltonetworks.com/cortex/cortex-xdr; https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin;

https://docs.paloaltonetworks.com/search#q=behavioral%20 threat%20 protection&sort=relevancy&layout=card&numberOfResults=25

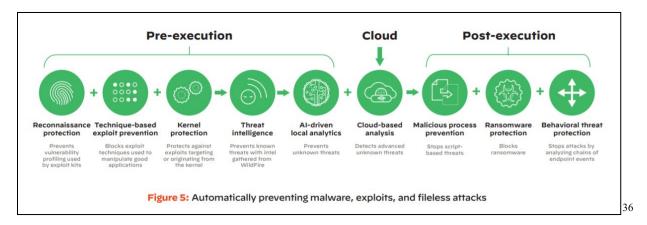
81. Taasera Licensing has suffered, and will continue to suffer, irreparable harm as a result of Counterclaim Defendant's infringement of the '441 Patent, for which there is no adequate remedy at law, unless Counterclaim Defendant's infringement is enjoined by this Court.

COUNT V (Infringement of the '038 Patent)

- 82. Paragraphs 1 through 31 are incorporated by reference as if fully set forth herein.
- 83. Neither Taasera Licensing nor TaaSera, Inc. have licensed or otherwise authorized Counterclaim Defendant to make, use, offer for sale, sell, or import any products that embody the inventions of the '038 Patent.
- 84. Counterclaim Defendant has and continues to directly infringe the '038 Patent, either literally or under the doctrine of equivalents, without authority and in violation of 35 U.S.C. § 271, by making, using, offering to sell, selling, and/or importing into the United States products that satisfy each and every limitation of one or more claims of the '038 Patent. Such products incorporate the Malicious Process Prevention and Behavioral Threat Protection features and include at least the Palo Alto Cortex XDR (the "'038 Accused Products") which practice a method for controlling the operation of an endpoint, comprising: providing a user interface, at a computing system remote from the endpoint, configured to allow configuration of a plurality of policies; maintaining the plurality of policies in a data store on the computing system; identifying, from the plurality of policies, a plurality of operating conditions on the endpoint to monitor; configuring one or more software agents on the endpoint to monitor the plurality of operating conditions; receiving, across a network, at the computing system, status information about the plurality of operating conditions on the endpoint gathered by the one or more software agents; determining, by the computing system, a compliance state of the endpoint based on the status information and a plurality of compliance policies in the data store; and initiating, by the computing system, based

on the compliance state, an action identified in at least one rule in the data store, wherein the action is carried out by a processor on the endpoint.

85. Every '038 Accused Product practices a method for controlling the operation of an endpoint. For example, the Palo Alto Cortex XDR performs Malicious Process Prevention and Behavioral Threat Protection on endpoints.



86. Every '038 Accused Product practices providing a user interface, at a computing system remote from the endpoint, configured to allow configuration of a plurality of policies and maintaining the plurality of policies in a data store on the computing system. For example, Palo Alto Cortex XDR allows configuration of a plurality of policies (*e.g.*, endpoint security policies, rules, profiles) at a system remote from the endpoint through a provided user interface which are stored in a data store (*e.g.*, Cortex XDR).

³⁶ https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf

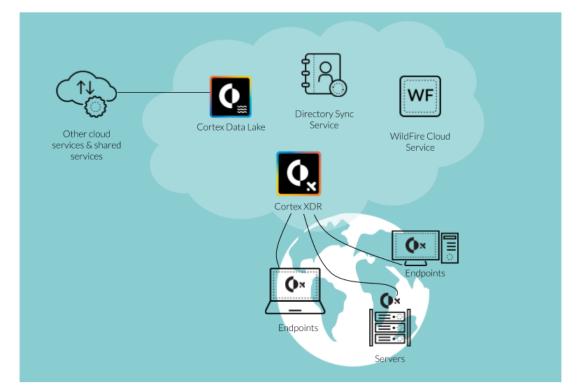
Cortex® XDR™ Prevent Architecture

CORTEX

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As new malware variants pop up around the globe and new software bugs and vulnerabilities are discovered, it is challenging to ensure that your endpoints remain secure. With Cortex XDR, a cloud-based endpoint security service, you save the time and cost of building out your own global endpoint security infrastructure. This simplified deployment, which requires no server licenses, databases, or other infrastructure to get started, enables you to quickly protect your endpoints.



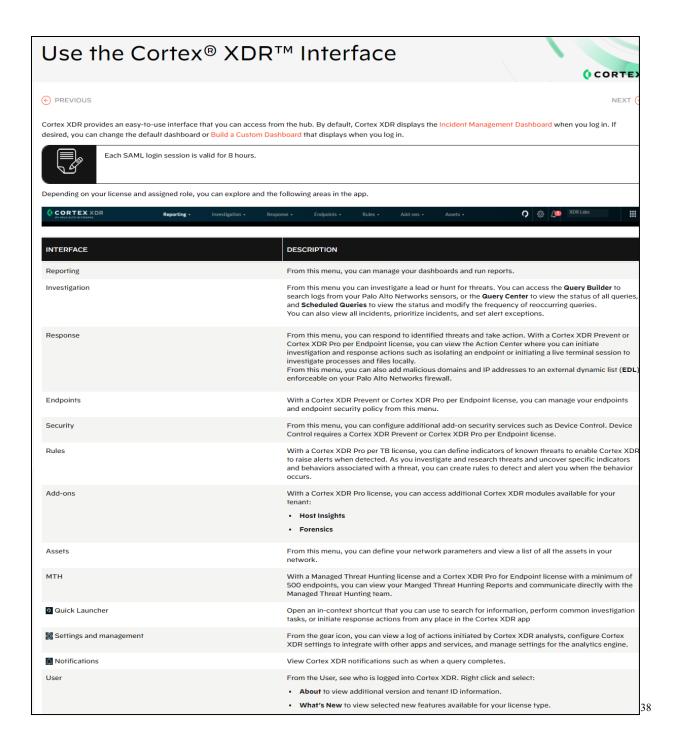
With Cortex XDR, Palo Alto Networks deploys and manages the security infrastructure globally to manage endpoint security policy for both local and remote endpoints and to ensure that the service is secure, resilient, up to date, and available to you when you need it. This allows you to focus less on deploying the infrastructure and more on defining the polices to meet your corporate usage guidelines.

Cortex XDR is comprised of the following components:

Cortex XDR web interface—A cloud-based security infrastructure service that is designed to minimize the
operational challenges associated with protecting your endpoints. From Cortex XDR, you can manage the endpoint
security policy, review security events as they occur, and perform additional analysis of associated logs.

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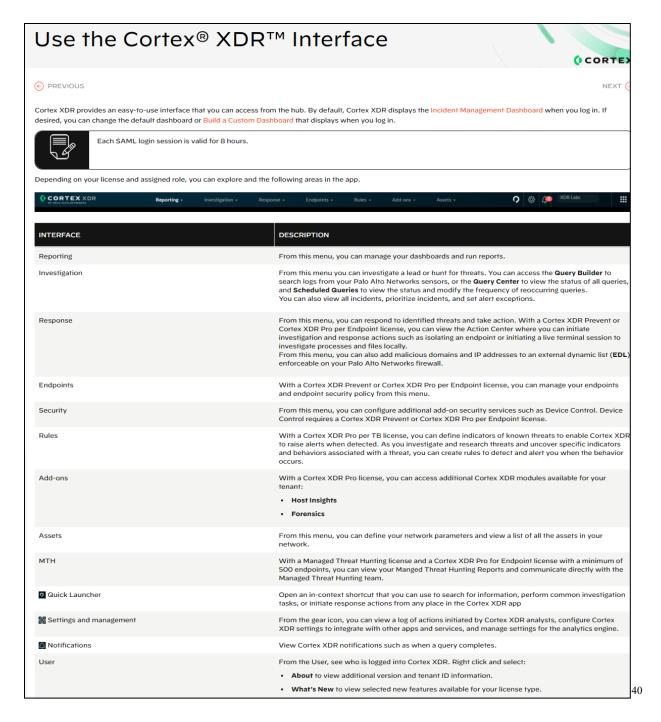
 $^{^{37}\} https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-prevent-admin/cortex-xdr-prevent-overview/cortex-xdr-prevent-architecture.html$



³⁸ https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/get-started-with-cortex-xdr-pro/use-cortex-xdr.html

Endpoint Security Profiles CORTEX € PREVIOUS Cortex XDR provides default security profiles that you can use out of the box to immediately begin protecting your endpoints from threats. While security rules enable you to block or allow files to run on your endpoints, security profiles help you customize and reuse settings across different groups of endpoints. When the Cortex XDR agent detects behavior that matches a rule defined in your security policy, the Cortex XDR agent applies the security profile that is attached to the rule for further inspection DESCRIPTION **PROFILE NAME** Exploit Profiles Exploit profiles block attempts to exploit system flaws in browsers, and in the operating system. For example, Exploit profiles help protect against exploit kits, illegal code execution, and other attempts to exploit process and system vulnerabilities. Exploit profiles are supported for Windows, Mac, and Linux platforms. Add a New Exploit Security Profile. Malware Profiles Malware profiles protect against the execution of malware including trojans, viruses, worms, and grayware. Malware profiles serve two main purposes: to define how to treat behavior common with malware, such as ransomware or script-based attacks, and to define how to treat known malware and unknown files. Malware profiles are supported for all platforms. Restrictions Profiles Restrictions profiles limit where executables can run on an endpoint. For example, you can restrict files from running from specific local folders or from removable media. Restrictions profiles are supported only for Windows platforms. Add a New Restrictions Security Profile. Agent Settings Profiles Agent Settings profiles enable you to customize settings that apply to the Cortex XDR agent (such as the disk space quota for log retention). For Mac and Windows platforms, you can also customize user interface options for the Cortex XDR console, such as accessibility and notifications. Add a New Agent Settings Profile. **Exceptions Profiles** Exceptions Security Profiles override the security policy to allow a process or file to run on an endpoint, to disable a specific BTP rule, to allow a known digital signer, and to import exceptions from the Cortex XDR support team. Exceptions profiles are supported for Windows, Mac, and Linux platforms. Add a New Exceptions Security Profile. After you add the new security profile, you can Manage Endpoint Security Profiles.

 $^{^{39}\} https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-prevent-admin/endpoint-security/endpoint-security-profiles.html$



87. Every '038 Accused Product practices identifying, from the plurality of policies, a plurality of operating conditions on the endpoint to monitor. For example, Palo Alto Cortex XDR

⁴⁰ https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/get-started-with-cortex-xdr-pro/use-cortex-xdr.html

Agents identify, from the plurality of policies, operating conditions (*e.g.*, events) on the endpoint to monitor.

Endpoint Data Collected by Cortex XDR

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When the Cortex XDR agent raises an alert on endpoint activity, a minimum set of metadata about the endpoint is sent to the server as described in Metadata Collected for Cortex XDR Agent Alerts.

When you enable behavioral threat protection or EDR data collection in your endpoint security policy, the Cortex XDR agent can also continuously monitor endpoint activity for malicious event chains identified by Palo Alto Networks. The endpoint data that the Cortex XDR agent collects when you enable these capabilities varies by the platform type:

Windows	Event	Logs

PATH	PROVIDER	EVENT IDS	DESCRIPTION
Application	EMET		
Application	Windows Error Reporting		WER events for application crashes only
Application	Microsoft-Windows-User Profiles Service	1511, 1518	User logging on with temporary profile (1511), Cannot create profile using temporary profile (1518)
Application	Application Error	1000	Application crash/hang events, similar to WER/1001. These include full path to faulting EXE/Module
Application	Application Hang	1002	Application crash/hang events, similar to WER/1001. These include full path to faulting EXE/Module
Microsoft-Windows- CAPI2/Operational		11, 70, 90	CAPI events Build Chain (11), Private Key accessed (70), X509 object (90)
Microsoft-Windows-DNS- Client/Operational		3008	DNS Query Completed (3008) without local machine na,e resolution events and without enmpty name resolution events
Microsoft-Windows- DriverFrameworks- UserMode/Operational		2004	Detect User-Mode drivers loaded - for potential BadUSB detection
Microsoft-Windows- PowerShell/Operational		4103, 4104, 4105, 4106	PowerShell execute block activity (4103), Remote Command (4104), Start Command (4105), Stop Command (4106)
Microsoft-Windows- TaskScheduler/Operational	Microsoft-Windows- TaskScheduler	106, 129, 141, 142, 200, 201	
Microsoft-Windows- TerminalServices- RDPClient/Operational		1024	Log attempted TS connect to remote server
Microsoft-Windows-Windows Defender/Operational		1006, 1009	Modern Windows Defender event provider Detection events (1006 and 1009)
Microsoft-Windows-Windows Defender/Operational		1116, 1119	Modern Windows Defender event provider Detection events (1116 and 1119)

⁴¹ https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/endpoint-security/customizable-agent-settings/endpoint-data-collected-by-cortex-xdr.html

Cortex® XDR™ Prevent Architecture

With Cortex XDR, Palo Alto Networks deploys and manages the security infrastructure globally to manage endpoint security policy for both local and remote endpoints and to ensure that the service is secure, resilient, up to date, and available to you when you need it. This allows you to focus less on deploying the infrastructure and more on defining the polices to meet your corporate usage guidelines.

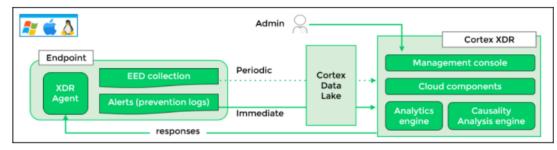
Cortex XDR is comprised of the following components:

Cortex XDR web interface—A cloud-based security infrastructure service that is designed to minimize the
operational challenges associated with protecting your endpoints. From Cortex XDR, you can manage the endpoint
security policy, review security events as they occur, and perform additional analysis of associated logs.



You can host your Cortex XDR tenant in either the US Region or EU Region.

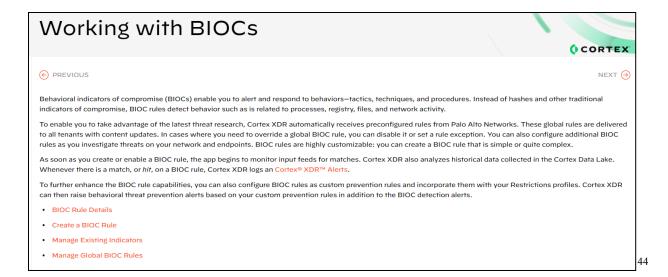
Cortex XDR Agents—Each local or remote endpoint is protected by the Cortex XDR agent, which is installed and
continuously runs on the endpoint. The Cortex XDR agent enforces your security policy on the endpoint and sends a
report when it detects a threat. Cortex XDR agents support secure communication with Cortex XDR using Transport
Layer Security (TLS) 1.2.



- Palo Alto Networks cloud-delivered security services:
 - Cortex Data Lake—A cloud-based logging infrastructure that allows you to centralize the collection and storage
 of logs generated by your Cortex XDR agents regardless of location. The Cortex XDR agents and Cortex XDR
 forward all logs to the Cortex Data Lake. You can view the logs for your agents in Cortex XDR. With the Log
 Forwarding app, you can also forward logs to an external syslog receiver.

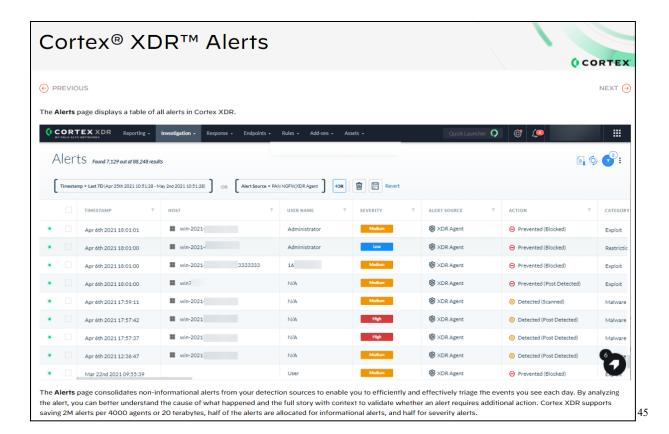
88. Every '038 Accused Product practices configuring one or more software agents on the endpoint to monitor the plurality of operating conditions. For example, Palo Alto Cortex XDR configures the Cortex XDR Agents to monitor the plurality of operating conditions. ⁴³

⁴² https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-prevent-admin/cortex-xdr-prevent-overview/cortex-xdr-prevent-architecture.html
⁴³ Id.



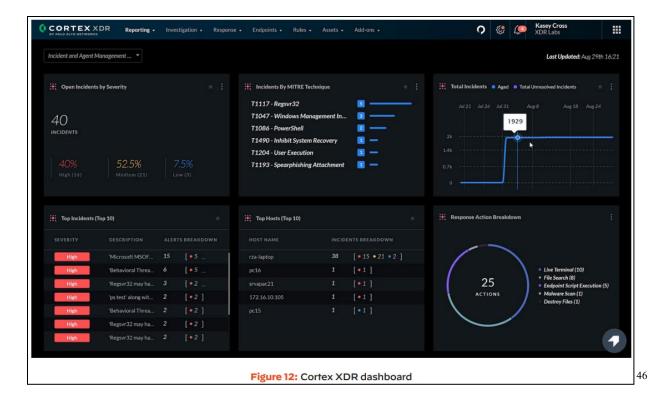
89. Every '038 Accused Product practices receiving, across a network, at the computing system, status information about the plurality of operating conditions on the endpoint gathered by the one or more software agents. For example, Cortex XDR receives information regarding whether threats have been detected, gathered by the one or more software agents (*e.g.*, Cortex XDR Agents).

 $^{^{44}\} https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/investigation-and-response/cortex-xdr-indicators/working-with-biocs.html$



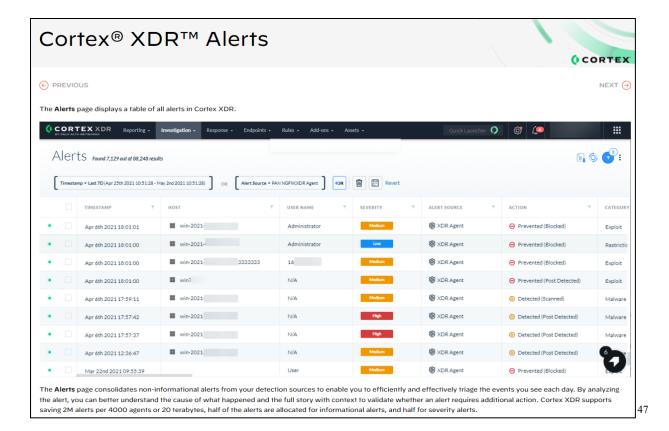
90. Every '038 Accused Product practices determining, by the computing system, a compliance state of the endpoint based on the status information (*e.g.*, whether attacks have been detected) and a plurality of compliance policies in the data store. For example, Palo Alto Cortex XDR determines a compliance state of the endpoint (*e.g.*, endpoints/hosts with the most incidents) based on the status information and the policies.

 $^{^{45}\} https://es.coursera.org/lecture/palo-alto-networks-security-operations-center-fundamentals/cortex-and-secops-SJOW f$



91. Every '038 Accused Product practices initiating, by the computing system, based on the compliance state, an action identified in at least one rule in the data store, wherein the action is carried out by a processor on the endpoint. For example, Palo Alto Cortex XDR initiates actions (e.g., Actions) identified in the rules based on the compliance state which are carried out by the endpoint processor.

⁴⁶ https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf



- 92. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '038 Patent by knowingly and intentionally inducing others, including Palo Alto subsidiaries, customers, and end-users, to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States products that include infringing technology, such as '038 Accused Products (*e.g.*, products incorporating the Malicious Process Prevention and Behavioral Threat Protection features).
- 93. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '038 Patent by knowingly and intentionally inducing others to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States the infringing Accused Products. For example, Counterclaim

 $^{^{47}\} https://es.coursera.org/lecture/palo-alto-networks-security-operations-center-fundamentals/cortex-and-secops-SJOWf$

Defendant, with the knowledge that these products, or the use thereof, infringe the '038 Patent at least as of the date of Taasera Licensing's Complaint against Palo Alto on February 25, 2022, knowingly and intentionally induced, and continues to knowingly and intentionally induce, direct infringement of the '038 Patent by providing these products to customers and end-users for use in an infringing manner.

- 94. Counterclaim Defendant induced infringement by others, including end-users, with the intent to cause infringing acts by others or, in the alternative, with the belief that there was a high probability that others, including end-users, infringe the '038 Patent, but while remaining willfully blind to the infringement. Counterclaim Defendant provides detailed information about how to use the Accused Products in the Palo Alto TECHDOCS and Palo Alto Customer Support Portal websites. Counterclaim Defendant provides product manuals and documentation that instruct customers and end-users how to use the Accused Products in an infringing manner, including specifically how to use the Malicious Process Prevention and Behavioral Threat Protection features.
- 95. Taasera Licensing has suffered damages as a result of Counterclaim Defendant's direct and indirect infringement of the '038 Patent in an amount to be proved at trial.
- 96. Taasera Licensing has suffered, and will continue to suffer, irreparable harm as a result of Counterclaim Defendant's infringement of the '038 Patent, for which there is no adequate remedy at law, unless Counterclaim Defendant's infringement is enjoined by this Court.

⁴⁸ https://docs.paloaltonetworks.com/; https://docs.paloaltonetworks.com/cortex/cortex-xdr; https://support.paloaltonetworks.com/Support/Index

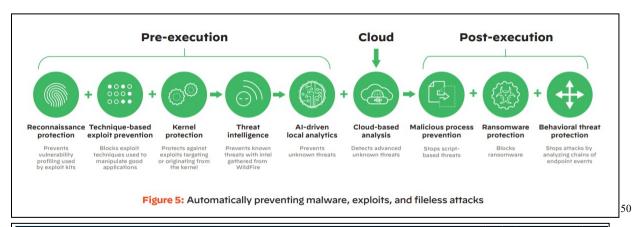
⁴⁹ https://docs.paloaltonetworks.com/cortex/cortex-xdr; https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin:

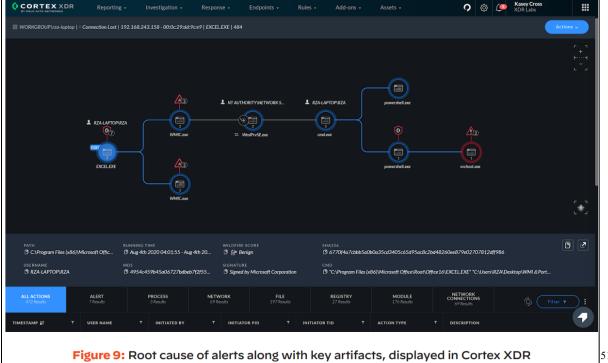
https://docs.paloaltonetworks.com/search#q=behavioral%20 threat%20 protection&sort=relevancy&layout=card&numberOfResults=25

COUNT VI (Infringement of the '948 Patent)

- 97. Paragraphs 1 through 31 are incorporated by reference as if fully set forth herein.
- 98. Counterclaim Defendant is not licensed or otherwise authorized to make, use, offer for sale, sell, or import any products that embody the inventions of the '948 Patent.
- 99. Counterclaim Defendant has and continues to directly infringe the '948 Patent, either literally or under the doctrine of equivalents, without authority and in violation of 35 U.S.C. § 271, by making, using, offering to sell, selling, and/or importing into the United States products that satisfy each and every limitation of one or more claims of the '948 Patent. Such products incorporate the Malicious Process Prevention and Behavioral Threat Protection features and include at least the Palo Alto Cortex XDR (the "'948 Accused Products") which practice a method of providing real-time operational integrity of an application on a native computing environment, the method comprising: monitoring, by a plurality of sensory inputs, one or more of network dialogs of the application, system operations initiated by the application, a runtime configuration of the application, resource utilization by the application, and integrity of the application; generating real-time behavior based events for determining the real-time operational integrity of the application executing on the native computing environment which includes a network analyzer, an integrity processor, an event correlation matrix, a risk correlation matrix, and a trust supervisor; correlating, by the event and risk correlation matrix, threat classifications based on the temporal sequence of the generated real-time behavior based events; and displaying, in a plurality of runtime dashboards of an administrative console of the computing environment, real-time status indications for operational integrity of the application.

100. Every '948 Accused Product practices a method of providing real-time operational integrity of an application on a native computing environment. For example, the Palo Alto Cortex XDR incorporates Malicious Process Prevention and Behavioral Threat Protection.



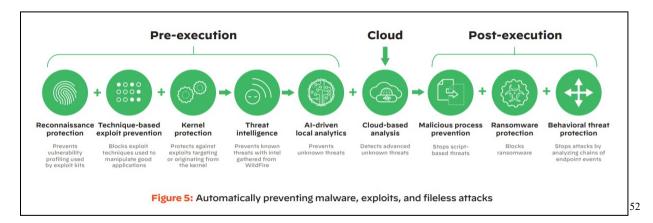


101. Every '948 Accused Product practices monitoring, by a plurality of sensory inputs, one or more of network dialogs of the application, system operations initiated by the application,

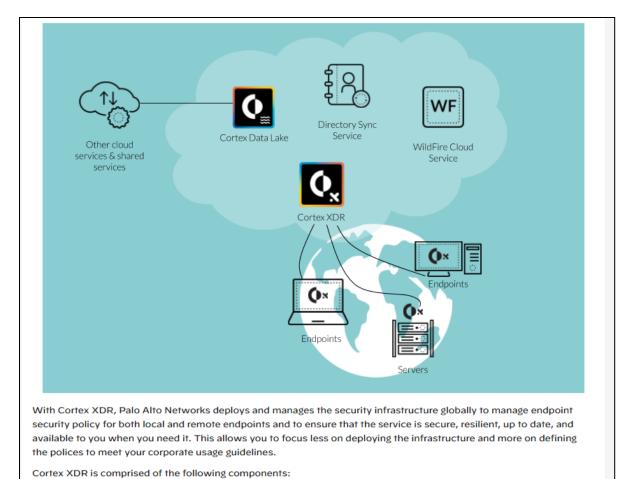
⁵⁰ https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf

⁵¹ https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf

a runtime configuration of the application, resource utilization by the application, and integrity of the application. For example, Palo Alto Cortex XDR monitors for malware, exploits, and fileless attacks and follows defined application policies.



⁵² *Id*.



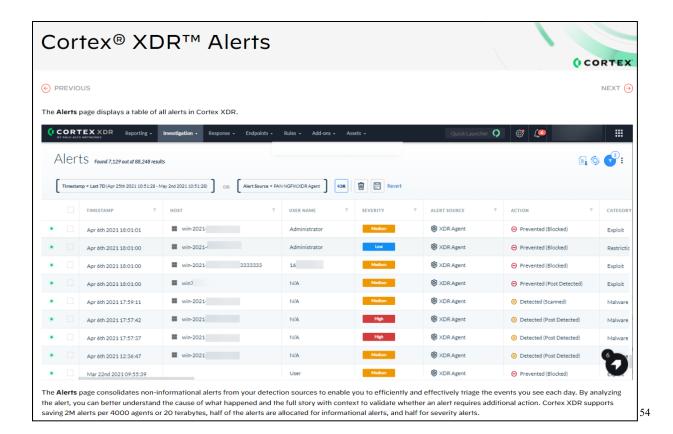
Cortex XDR web interface—A cloud-based security infrastructure service that is designed to minimize the

Cortex XDR web interface—A cloud-based security infrastructure service that is designed to minimize the
operational challenges associated with protecting your endpoints. From Cortex XDR, you can manage the endpoint
security policy, review security events as they occur, and perform additional analysis of associated logs.

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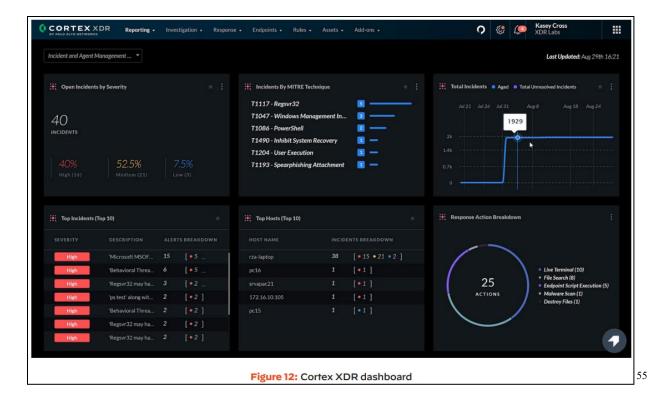
102. Every '948 Accused Product practices generating real-time behavior based events for determining the real-time operational integrity of the application executing on the native computing environment which includes a network analyzer, an integrity processor, an event correlation matrix, a risk correlation matrix, and a trust supervisor. For example, Palo Alto Cortex XDR security agents generate behavior based events for determining the real-time operational integrity of the application executing on the native computer environment.

 $^{^{53}\} https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-prevent-admin/cortex-xdr-prevent-overview/cortex-xdr-prevent-architecture.html$



103. Every '948 Accused Product practices correlating, by the event and risk correlation matrix, threat classifications based on the temporal sequence of the generated real-time behavior based events. For example, the MITRE ATT&CK framework correlates threat classifications based on the temporal sequence of detected behavioral events.

 $^{^{54}\} https://es.coursera.org/lecture/palo-alto-networks-security-operations-center-fundamentals/cortex-and-secops-SJOW f$



104. Every '948 Accused Product practices displaying, in a plurality of runtime dashboards of an administrative console of the computing environment, real-time status indications for operational integrity of the application. For example, Palo Alto Cortex XDR includes several display options for showing real-time status indications for the operational integrity of the application.

⁵⁵ https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf

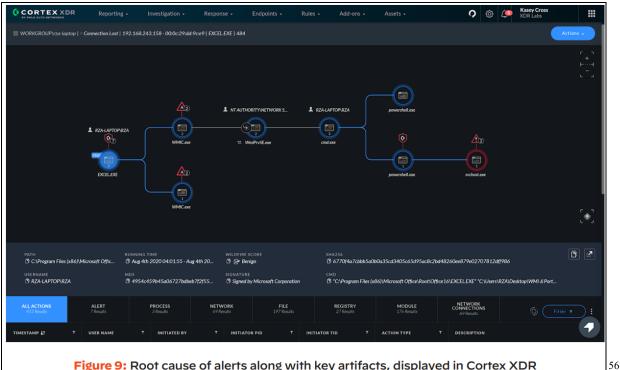
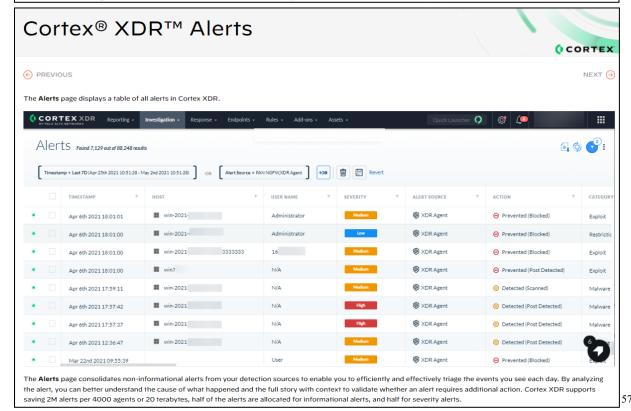


Figure 9: Root cause of alerts along with key artifacts, displayed in Cortex XDR



⁵⁶ https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf

⁵⁷ https://es.coursera.org/lecture/palo-alto-networks-security-operations-center-fundamentals/cortex-and-secops-**SJOWf**

105. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '948 Patent by knowingly and intentionally inducing others, including Palo Alto subsidiaries, customers, and end-users, to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States products that include infringing technology, such as '948 Accused Products (*e.g.*, products incorporating the Malicious Process Prevention and Behavioral Threat Protection features).

106. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '948 Patent by knowingly and intentionally inducing others to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States the infringing Accused Products. For example, Counterclaim Defendant, with the knowledge that these products, or the use thereof, infringe the '948 Patent at least as of the date of Taasera Licensing's Complaint against Palo Alto on February 25, 2022, knowingly and intentionally induced, and continues to knowingly and intentionally induce, direct infringement of the '948 Patent by providing these products to customers and end-users for use in an infringing manner.

107. Counterclaim Defendant induced infringement by others, including end-users, with the intent to cause infringing acts by others or, in the alternative, with the belief that there was a high probability that others, including end-users, infringe the '948 Patent, but while remaining willfully blind to the infringement. Counterclaim Defendant provides detailed information about how to use the Accused Products in the Palo Alto TECHDOCS and Palo Alto Customer Support Portal websites.⁵⁸ Counterclaim Defendant provides product manuals and documentation that instruct customers and end-users how to use the Accused Products in an infringing manner,

⁵⁸ https://docs.paloaltonetworks.com/; https://docs.paloaltonetworks.com/cortex/cortex-xdr; https://support.paloaltonetworks.com/Support/Index

including specifically how to use the Malicious Process Prevention and Behavioral Threat Protection features.⁵⁹

- 108. Taasera Licensing has suffered damages as a result of Counterclaim Defendant's direct and indirect infringement of the '948 Patent in an amount to be proved at trial.
- 109. Taasera Licensing has suffered, and will continue to suffer, irreparable harm as a result of Counterclaim Defendant's infringement of the '948 Patent, for which there is no adequate remedy at law, unless Counterclaim Defendant's infringement is enjoined by this Court.

COUNT VII (Infringement of the '616 Patent)

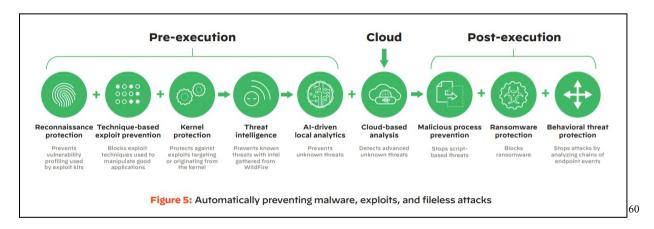
- 110. Paragraphs 1 through 31 are incorporated by reference as if fully set forth herein.
- 111. Counterclaim Defendant is not licensed or otherwise authorized to make, use, offer for sale, sell, or import any products that embody the inventions of the '616 Patent.
- 112. Counterclaim Defendant has and continues to directly infringe the '616 Patent, either literally or under the doctrine of equivalents, without authority and in violation of 35 U.S.C. § 271, by making, using, offering to sell, selling, and/or importing into the United States products that satisfy each and every limitation of one or more claims of the '616 Patent. Such products incorporate the Malicious Process Prevention and Behavioral Threat Protection features and include at least the Palo Alto Cortex XDR (the "'616 Accused Products") which practice a method of providing an attestation service for providing runtime operational integrity of a system using a computing platform comprising a network trust agent, an endpoint trust agent, and a trust orchestration server, the method comprising: sending, by the endpoint trust agent on a monitored

⁵⁹ https://docs.paloaltonetworks.com/cortex/cortex-xdr; https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin:

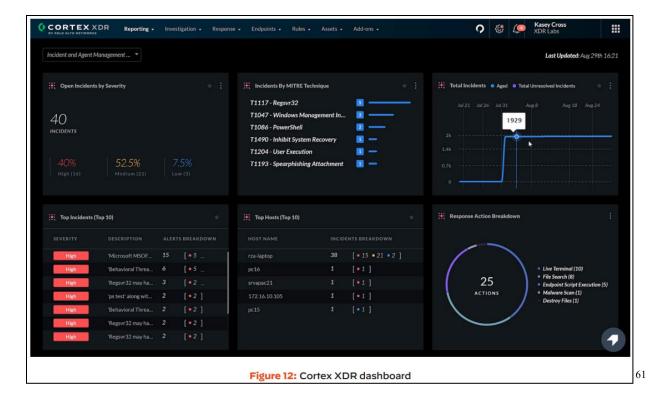
https://docs.paloaltonetworks.com/search#q=behavioral%20 threat%20 protection&sort=relevancy&layout=card&numberOfResults=25

device, a dynamic context including endpoint events and actions of the monitored device and applications executing on the monitored device at runtime; receiving, at the trust orchestration server, the dynamic context including the endpoint events of the monitored device and the applications executing on the monitored device at runtime; analyzing, by the trust orchestration server, the received endpoint events; receiving, by the trust orchestration server, third-party network endpoint assessments; generating, by the trust orchestration server, temporal events based at least in part on analyzing the third-party network endpoint assessments; correlating, by the trust orchestration server, the received endpoint events and the generated temporal events; and generating, by the trust orchestration server, an integrity profile for the system.

113. Every '616 Accused Product practices a method of providing an attestation service for providing runtime operational integrity of a system using a computing platform comprising a network trust agent, an endpoint trust agent, and a trust orchestration server. For example, Palo Alto Cortex XDR Agents provide operational integrity of a system.

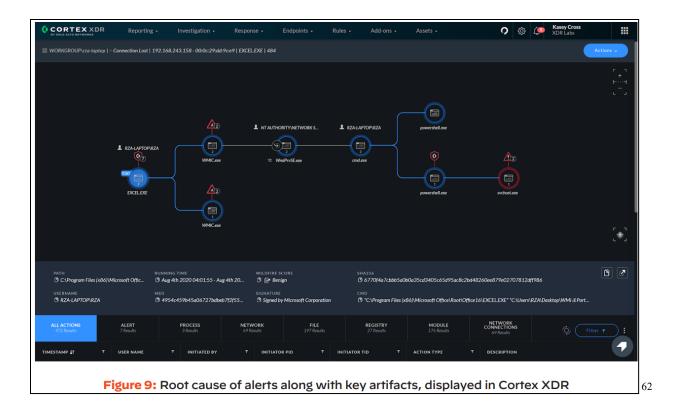


 $^{^{60}\} https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf$

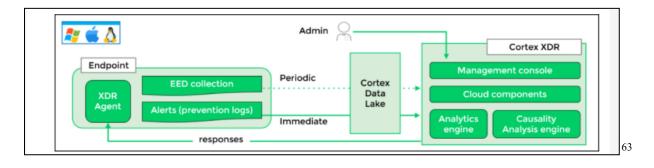


- 114. Every '616 Accused Product practices sending, by the endpoint trust agent on a monitored device, a dynamic context including endpoint events and actions of the monitored device and applications executing on the monitored device at runtime. For example, the security agents send events, context, and status information.
- 115. Every '616 Accused Product practices receiving, at the trust orchestration server, the dynamic context including the endpoint events of the monitored device and the applications executing on the monitored device at runtime. For example, Palo Alto Cortex XDR receives dynamic context including endpoint events and the applications executing on the monitored device in runtime.

⁶¹ *Id*.

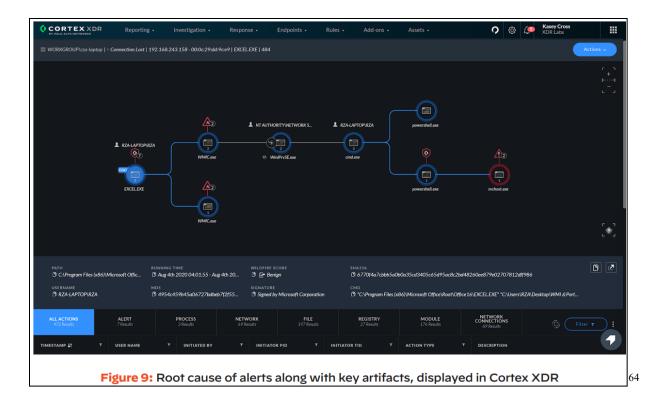


116. Every '616 Accused Product practices analyzing, by the trust orchestration server, the received endpoint events. For example, Palo Alto Cortex XDR receives endpoint events (*i.e.*, data related to potential security threats).



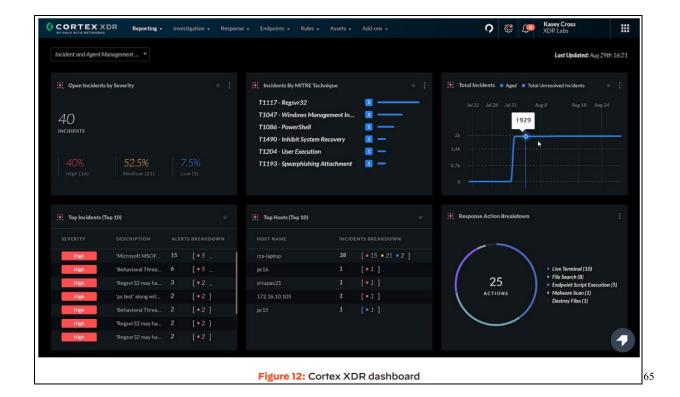
⁶² Id

⁶³ https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-prevent-admin/cortex-xdr-prevent-overview/cortex-xdr-prevent-architecture.html



117. Every '616 Accused Product practices receiving, by the trust orchestration server, third-party network endpoint assessments. For example, Palo Alto Cortex XDR receives MITRE ATT&CK data and other third-party network endpoint assessments.

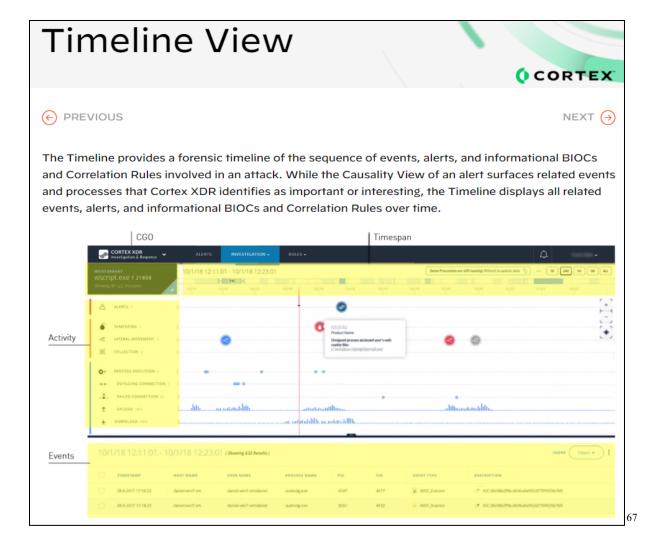
⁶⁴ https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf



118. Every '616 Accused Product practices generating, by the trust orchestration server, temporal events based at least in part on analyzing the third-party network endpoint assessments. For example, Palo Alto Cortex XDR generates event data and assessed severity scores based at least in part on analyzing the third-party network endpoint assessments (*e.g.*, MITRE ATT&CK tactics and techniques). 66

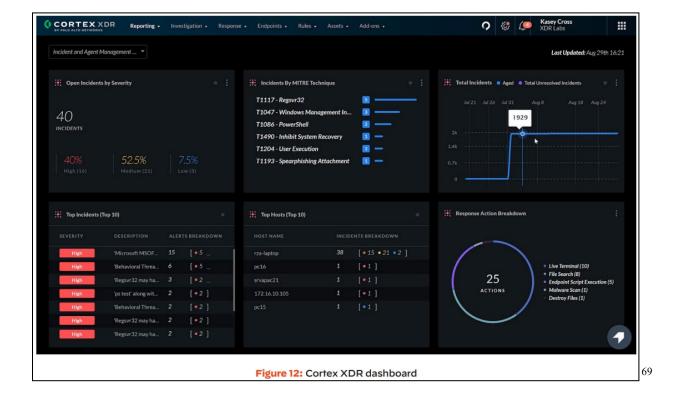
⁶⁵ *Id*.

⁶⁶ Id.



- 119. Every '616 Accused Product practices correlating, by the trust orchestration server, the received endpoint events and the generated temporal events. For example, Palo Alto Cortex XDR correlates the received endpoint events and the generated temporal events (*e.g.*, event data and assessed severity scores).⁶⁸
- 120. Every '616 Accused Product practices generating, by the trust orchestration server, an integrity profile for the system. For example, Palo Alto Cortex XDR generates an integrity profile for the system in displaying detected MITRE ATT&CK tactics and techniques.

⁶⁷ https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/investigation-and-response/investigate-endpoint-alerts/timeline-view.html ⁶⁸ *Id*.



- 121. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '616 Patent by knowingly and intentionally inducing others, including Palo Alto subsidiaries, customers, and end-users, to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States products that include infringing technology, such as '616 Accused Products (*e.g.*, products incorporating the Malicious Process Prevention and Behavioral Threat Protection features).
- 122. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '616 Patent by knowingly and intentionally inducing others to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States the infringing Accused Products. For example, Counterclaim Defendant, with the knowledge that these products, or the use thereof, infringe the '616 Patent at

⁶⁹ https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf

least as of the date of Taasera Licensing's Complaint against Palo Alto on February 25, 2022, knowingly and intentionally induced, and continues to knowingly and intentionally induce, direct infringement of the '616 Patent by providing these products to customers and end-users for use in an infringing manner.

- 123. Counterclaim Defendant induced infringement by others, including end-users, with the intent to cause infringing acts by others or, in the alternative, with the belief that there was a high probability that others, including end-users, infringe the '616 Patent, but while remaining willfully blind to the infringement. Counterclaim Defendant provides detailed information about how to use the Accused Products in the Palo Alto TECHDOCS and Palo Alto Customer Support Portal websites. To Counterclaim Defendant provides product manuals and documentation that instruct customers and end-users how to use the Accused Products in an infringing manner, including specifically how to use the Malicious Process Prevention and Behavioral Threat Protection features.
- 124. Taasera Licensing has suffered damages as a result of Counterclaim Defendant's direct and indirect infringement of the '616 Patent in an amount to be proved at trial.
- 125. Taasera Licensing has suffered, and will continue to suffer, irreparable harm as a result of Counterclaim Defendant's infringement of the '616 Patent, for which there is no adequate remedy at law, unless Counterclaim Defendant's infringement is enjoined by this Court.

COUNT VIII (Infringement of the '918 Patent)

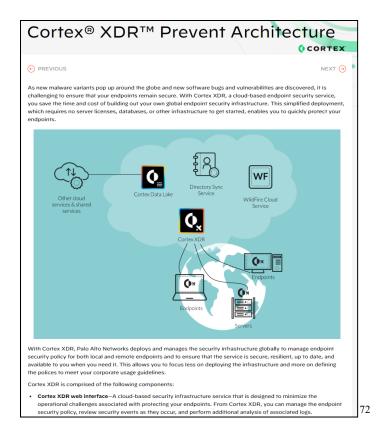
126. Paragraphs 1 through 31 are incorporated by reference as if fully set forth herein.

⁷⁰ https://docs.paloaltonetworks.com/; https://docs.paloaltonetworks.com/cortex/cortex-xdr; https://support.paloaltonetworks.com/Support/Index

⁷¹ https://docs.paloaltonetworks.com/cortex/cortex-xdr; https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin;

https://docs.paloaltonetworks.com/search#q=behavioral%20threat%20protection&sort=relevancy&layout=card&numberOfResults=25

- 127. Counterclaim Defendant is not licensed or otherwise authorized to make, use, offer for sale, sell, or import any products that embody the inventions of the '918 Patent.
- 128. Counterclaim Defendant has and continues to directly infringe the '918 Patent, either literally or under the doctrine of equivalents, without authority and in violation of 35 U.S.C. § 271, by making, using, offering to sell, selling, and/or importing into the United States products that satisfy each and every limitation of one or more claims of the '918 Patent. Such products incorporate the Endpoint Protection and include at least the Palo Alto Cortex XDR (the "'918 Accused Products") which comprise a system for controlling the operation of an endpoint, comprising: a user interface, provided by a computing system remote from the endpoint, configured to allow configuration of a plurality of policies; a data store, at the computing system, that contains the plurality of policies; one or more software services, provided by an operating system on the endpoint configured to evaluate a plurality of operating conditions identified in the plurality of policies; and one or more hardware processors at the computing system configured to receive, across a network, at the computing system, status information about the plurality of operating conditions on the endpoint, gathered by the one or more software services on the endpoint, and user information that identifies a user of the endpoint, determine, by the computing system, a compliance state of the endpoint based on the user information and status information, and a plurality of compliance policies in the data store, and authorize access by the endpoint to a computing resource on the network, authorization being determined by the remote computing system in response to the compliance state.
- 129. Every '918 Accused Product is a system for controlling the operation of an endpoint. For example, the Palo Alto Cortex XDR controls the operation of endpoints through security profiles.



130. Every '918 Accused Product comprises a user interface, provided by a computing system remote from the endpoint, configured to allow configuration of a plurality of policies, and a data store, at the computing system, that contains the plurality of policies. For example, Palo Alto Cortex XDR comprises a user interface that allows configuration of a plurality of policies (*e.g.*, endpoint security policies, rules, profiles) at a system remote from the endpoint which are stored in the Cortex Data Lake data store.

 $^{^{72}\} https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-prevent-admin/cortex-xdr-prevent-overview/cortex-xdr-prevent-architecture.html$

Cortex® XDR™ Prevent Architecture

With Cortex XDR, Palo Alto Networks deploys and manages the security infrastructure globally to manage endpoint security policy for both local and remote endpoints and to ensure that the service is secure, resilient, up to date, and available to you when you need it. This allows you to focus less on deploying the infrastructure and more on defining the polices to meet your corporate usage guidelines.

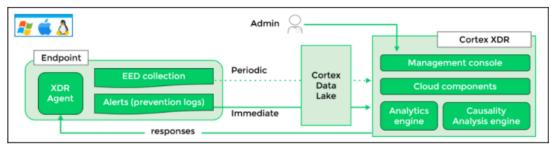
Cortex XDR is comprised of the following components:

Cortex XDR web interface—A cloud-based security infrastructure service that is designed to minimize the
operational challenges associated with protecting your endpoints. From Cortex XDR, you can manage the endpoint
security policy, review security events as they occur, and perform additional analysis of associated logs.



You can host your Cortex XDR tenant in either the US Region or EU Region.

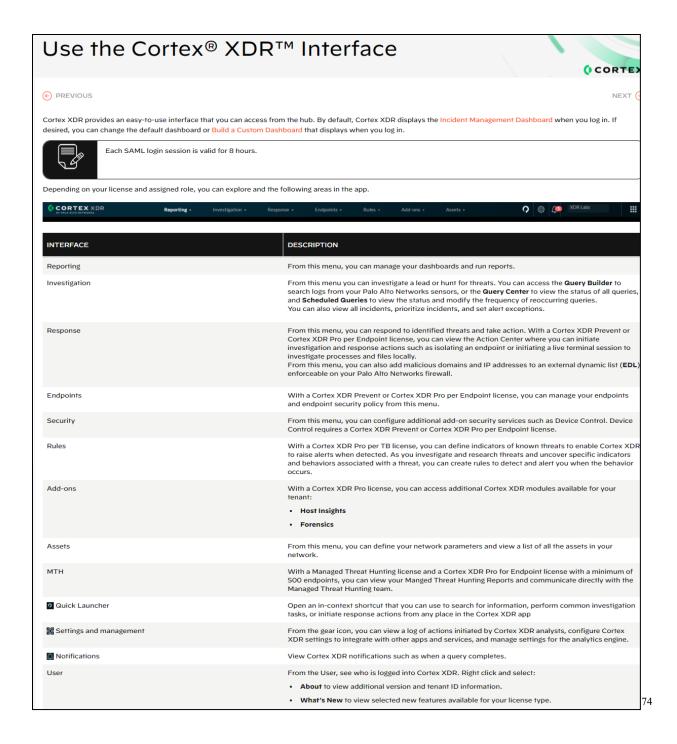
Cortex XDR Agents—Each local or remote endpoint is protected by the Cortex XDR agent, which is installed and
continuously runs on the endpoint. The Cortex XDR agent enforces your security policy on the endpoint and sends a
report when it detects a threat. Cortex XDR agents support secure communication with Cortex XDR using Transport
Layer Security (TLS) 1.2.



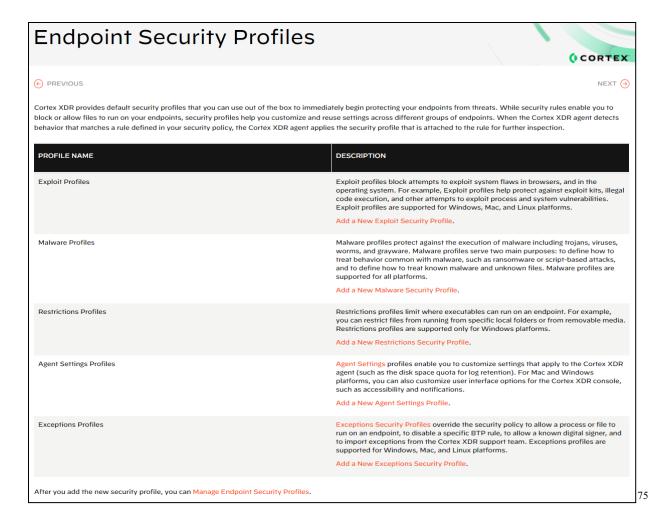
- Palo Alto Networks cloud-delivered security services:
 - Cortex Data Lake—A cloud-based logging infrastructure that allows you to centralize the collection and storage
 of logs generated by your Cortex XDR agents regardless of location. The Cortex XDR agents and Cortex XDR
 forward all logs to the Cortex Data Lake. You can view the logs for your agents in Cortex XDR. With the Log
 Forwarding app, you can also forward logs to an external syslog receiver.

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⁷³ *Id*.



⁷⁴ https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/get-started-with-cortex-xdr-pro/use-cortex-xdr.html



131. Every '918 Accused Product comprises one or more software services, provided by an operating system on the endpoint configured to evaluate a plurality of operating conditions identified in the plurality of policies. For example, Palo Alto Cortex XDR Agents are configured to evaluate the plurality of operating conditions (*e.g.*, events) identified in the plurality of policies (*e.g.*, endpoint security policies, rules, profiles).

 $^{^{75}\} https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-prevent-admin/endpoint-security/endpoint-security-profiles.html$

Endpoint Data Collected by Cortex XDR

CORTEX

← PREVIOUS

NEXT →

When the Cortex XDR agent raises an alert on endpoint activity, a minimum set of metadata about the endpoint is sent to the server as described in Metadata Collected for Cortex XDR Agent Alerts.

When you enable behavioral threat protection or EDR data collection in your endpoint security policy, the Cortex XDR agent can also continuously monitor endpoint activity for malicious event chains identified by Palo Alto Networks. The endpoint data that the Cortex XDR agent collects when you enable these capabilities varies by the platform type:

Windows Event Logs

PATH	PROVIDER	EVENT IDS	DESCRIPTION
Application	EMET		
Application	Windows Error Reporting		WER events for application crashes only
Application	Microsoft-Windows-User Profiles Service	1511, 1518	User logging on with temporary profile (1511) Cannot create profile using temporary profile (1518)
Application	Application Error	1000	Application crash/hang events, similar to WER/1001. These include full path to faultin EXE/Module
Application	Application Hang	1002	Application crash/hang events, similar to WER/1001. These include full path to faultin EXE/Module
Microsoft-Windows- CAPI2/Operational		11, 70, 90	CAPI events Build Chain (11), Private Key accessed (70), X509 object (90)
Microsoft-Windows-DNS- Client/Operational		3008	DNS Query Completed (3008) without local machine na,e resolution events and without enmpty name resolution events
Microsoft-Windows- DriverFrameworks- UserMode/Operational		2004	Detect User-Mode drivers loaded - for potential BadUSB detection
Microsoft-Windows- PowerShell/Operational		4103, 4104, 4105, 4106	PowerShell execute block activity (4103), Remote Command (4104), Start Command (4105), Stop Command (4106)
Microsoft-Windows- TaskScheduler/Operational	Microsoft-Windows- TaskScheduler	106, 129, 141, 142, 200, 201	
Microsoft-Windows- TerminalServices- RDPClient/Operational		1024	Log attempted TS connect to remote server
Microsoft-Windows-Windows Defender/Operational		1006, 1009	Modern Windows Defender event provider Detection events (1006 and 1009)
Microsoft-Windows-Windows Defender/Operational		1116, 1119	Modern Windows Defender event provider Detection events (1116 and 1119)

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⁷⁶ https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/endpoint-security/customizable-agent-settings/endpoint-data-collected-by-cortex-xdr.html

Cortex® XDR™ Prevent Architecture

With Cortex XDR, Palo Alto Networks deploys and manages the security infrastructure globally to manage endpoint security policy for both local and remote endpoints and to ensure that the service is secure, resilient, up to date, and available to you when you need it. This allows you to focus less on deploying the infrastructure and more on defining the polices to meet your corporate usage guidelines.

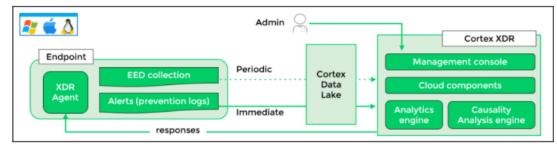
Cortex XDR is comprised of the following components:

Cortex XDR web interface—A cloud-based security infrastructure service that is designed to minimize the
operational challenges associated with protecting your endpoints. From Cortex XDR, you can manage the endpoint
security policy, review security events as they occur, and perform additional analysis of associated logs.



You can host your Cortex XDR tenant in either the US Region or EU Region.

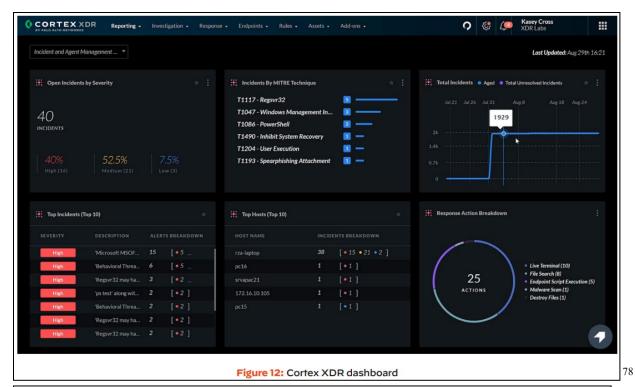
Cortex XDR Agents—Each local or remote endpoint is protected by the Cortex XDR agent, which is installed and
continuously runs on the endpoint. The Cortex XDR agent enforces your security policy on the endpoint and sends a
report when it detects a threat. Cortex XDR agents support secure communication with Cortex XDR using Transport
Layer Security (TLS) 1.2.

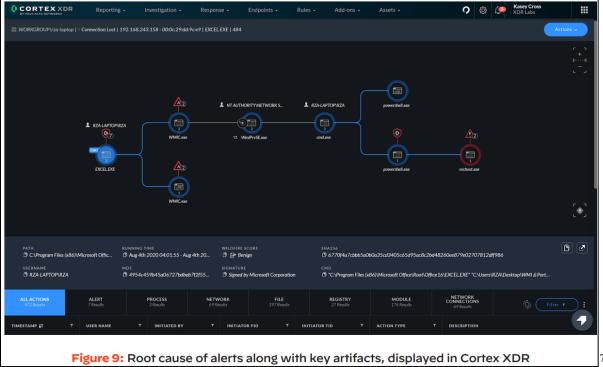


- Palo Alto Networks cloud-delivered security services:
 - Cortex Data Lake—A cloud-based logging infrastructure that allows you to centralize the collection and storage
 of logs generated by your Cortex XDR agents regardless of location. The Cortex XDR agents and Cortex XDR
 forward all logs to the Cortex Data Lake. You can view the logs for your agents in Cortex XDR. With the Log
 Forwarding app, you can also forward logs to an external syslog receiver.

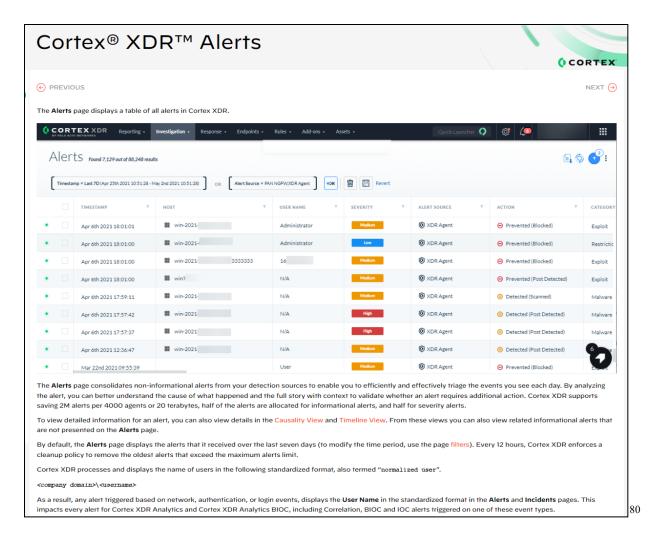
132. Every '918 Accused Product receives, across a network, at the computing system, status information about the plurality of operating conditions on the endpoint gathered by the one or more software services on the endpoint, and user information that identified a user of the endpoint. For example, Cortex XDR receives information including what attacks have been detected, top infected endpoints, and number of incidents over time, gathered by the one or more software services (*e.g.*, Cortex XDR Agent), and identification of a user of the endpoint.

 $^{^{77}\} https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-prevent-admin/cortex-xdr-prevent-overview/cortex-xdr-prevent-architecture.html$



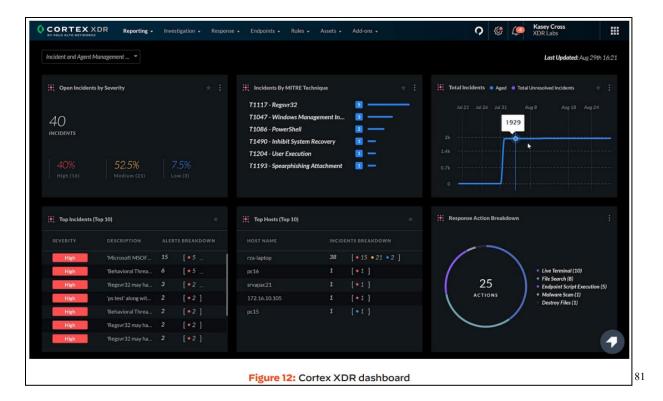


⁷⁸ https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf ⁷⁹ *Id*.



133. Every '918 Accused Product determines, by the computing system, a compliance state of the endpoint based on the user information and status information, and a plurality of compliance policies in the data store. For example, Palo Alto Cortex XDR determines a compliance state of the endpoint based on the user information, attack information, and the rules.

 $^{^{80}\} https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/investigation-and-response/investigate-endpoint-alerts/cortex-xdr-alerts.html$



134. Every '918 Accused Product authorizes access by the endpoint to a computing resource on the network, authorization being determined by the remote computing system in response to the compliance state. For example, Palo Alto Cortex XDR authorizes access by the endpoint to a computing resource on the network (*e.g.*, controls network traffic at the endpoint), authorization being determined by Palo Alto Cortex XDR in response to the compliance state.

⁸¹ https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf

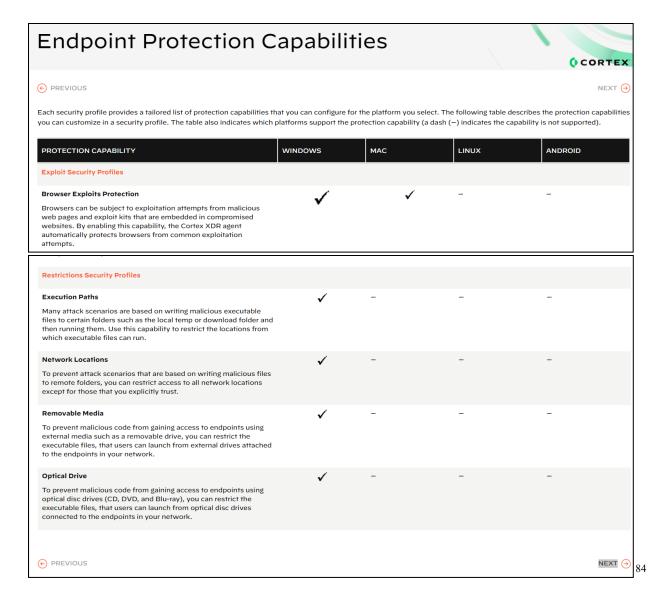
Working with BIOCs CORTEX PREVIOUS NEXT (→) Behavioral indicators of compromise (BIOCs) enable you to alert and respond to behaviors—tactics, techniques, and procedures. Instead of hashes and other traditional indicators of compromise, BIOC rules detect behavior such as is related to processes, registry, files, and network activity. To enable you to take advantage of the latest threat research, Cortex XDR automatically receives preconfigured rules from Palo Alto Networks. These global rules are delivered to all tenants with content updates. In cases where you need to override a global BIOC rule, you can disable it or set a rule exception. You can also configure additional BIOC rules as you investigate threats on your network and endpoints. BIOC rules are highly customizable: you can create a BIOC rule that is simple or quite complex. As soon as you create or enable a BIOC rule, the app begins to monitor input feeds for matches. Cortex XDR also analyzes historical data collected in the Cortex Data Lake. Whenever there is a match, or hit, on a BIOC rule, Cortex XDR logs an Cortex® XDR™ Alerts. To further enhance the BIOC rule capabilities, you can also configure BIOC rules as custom prevention rules and incorporate them with your Restrictions profiles. Cortex XDR can then raise behavioral threat prevention alerts based on your custom prevention rules in addition to the BIOC detection alerts. Create a BIOC Rule · Manage Existing Indicators Manage Global BIOC Rules

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Endpoint Security Profiles	
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	iately begin protecting your endpoints from threats. While security rules enable you to euse settings across different groups of endpoints. When the Cortex XDR agent detects ies the security profile that is attached to the rule for further inspection.
PROFILE NAME	DESCRIPTION
Exploit Profiles	Exploit profiles block attempts to exploit system flaws in browsers, and in the operating system. For example, Exploit profiles help protect against exploit kits, illega code execution, and other attempts to exploit process and system vulnerabilities. Exploit profiles are supported for Windows, Mac, and Linux platforms.
	Add a New Exploit Security Profile.
Malware Profiles	Malware profiles protect against the execution of malware including trojans, viruses, worms, and grayware. Malware profiles serve two main purposes: to define how to treat behavior common with malware, such as ransomware or script-based attacks, and to define how to treat known malware and unknown files. Malware profiles are supported for all platforms.
	Add a New Malware Security Profile.
Restrictions Profiles	Restrictions profiles limit where executables can run on an endpoint. For example, you can restrict files from running from specific local folders or from removable media Restrictions profiles are supported only for Windows platforms.
	Add a New Restrictions Security Profile.
Agent Settings Profiles	Agent Settings profiles enable you to customize settings that apply to the Cortex XDR agent (such as the disk space quota for log retention). For Mac and Windows platforms, you can also customize user interface options for the Cortex XDR console, such as accessibility and notifications.
	Add a New Agent Settings Profile.
Exceptions Profiles	Exceptions Security Profiles override the security policy to allow a process or file to run on an endpoint, to disable a specific BTP rule, to allow a known digital signer, and to import exceptions from the Cortex XDR support team. Exceptions profiles are supported for Windows, Mac, and Linux platforms.
	Add a New Exceptions Security Profile.
fter you add the new security profile, you can Manage Endpoint Security Profiles.	

 $^{82}\ https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/investigation-and-response/cortex-xdr-indicators/working-with-biocs.html$

⁸³ https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-prevent-admin/endpoint-security/endpoint-security-profiles.html



135. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '918 Patent by knowingly and intentionally inducing others, including Palo Alto subsidiaries, customers, and end-users, to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States products that include infringing technology, such as '918 Accused Products (*e.g.*, products incorporating the Endpoint Protection feature).

⁸⁴ https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-prevent-admin/endpoint-security/endpoint-protection-capabilities.html

136. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '918 Patent by knowingly and intentionally inducing others to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States the infringing Accused Products. For example, Counterclaim Defendant, with the knowledge that these products, or the use thereof, infringe the '918 Patent at least as of the date of Taasera Licensing's Complaint against Palo Alto on February 25, 2022, knowingly and intentionally induced, and continues to knowingly and intentionally induce, direct infringement of the '918 Patent by providing these products to customers and end-users for use in an infringing manner.

137. Counterclaim Defendant induced infringement by others, including end-users, with the intent to cause infringing acts by others or, in the alternative, with the belief that there was a high probability that others, including end-users, infringe the '918 Patent, but while remaining willfully blind to the infringement. Counterclaim Defendant provides detailed information about how to use the Accused Products in the Palo Alto TECHDOCS and Palo Alto Customer Support Portal websites. So Counterclaim Defendant provides product manuals and documentation that instruct customers and end-users how to use the Accused Products in an infringing manner, including specifically how to use the Endpoint Protection feature.

138. Taasera Licensing has suffered damages as a result of Counterclaim Defendant's direct and indirect infringement of the '918 Patent in an amount to be proved at trial.

⁸⁵ https://docs.paloaltonetworks.com/; https://docs.paloaltonetworks.com/cortex/cortex-xdr; https://support.paloaltonetworks.com/Support/Index

⁸⁶ https://docs.paloaltonetworks.com/cortex/cortex-xdr; https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin;

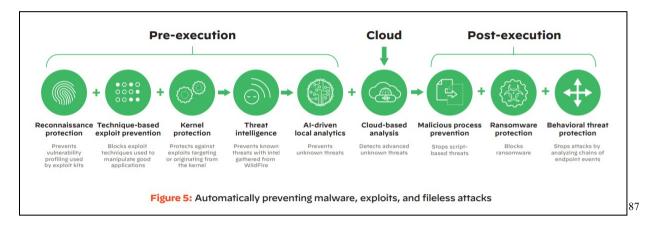
https://docs.paloaltonetworks.com/search#q=Endpoint%20Protection%20&hd=All%20Cortex%20XDR%20Documentation&hq=%40panproductcategory%3D%3D(%22Cortex%20XDR%22)&sort=relevancy&layout=card&number OfResults=25

139. Taasera Licensing has suffered, and will continue to suffer, irreparable harm as a result of Counterclaim Defendant's infringement of the '918 Patent, for which there is no adequate remedy at law, unless Counterclaim Defendant's infringement is enjoined by this Court.

COUNT IX (Infringement of the '517 Patent)

- 140. Paragraphs 1 through 31 are incorporated by reference as if fully set forth herein.
- 141. Counterclaim Defendant is not licensed or otherwise authorized to make, use, offer for sale, sell, or import any products that embody the inventions of the '517 Patent.
- 142. Counterclaim Defendant has and continues to directly infringe the '517 Patent, either literally or under the doctrine of equivalents, without authority and in violation of 35 U.S.C. § 271, by making, using, offering to sell, selling, and/or importing into the United States products that satisfy each and every limitation of one or more claims of the '517 Patent. Such products incorporate the Malicious Process Prevention and Behavioral Threat Protection features and include at least the Palo Alto Cortex XDR (the "'517 Accused Products") which practice a method for assessing runtime risk for an application program that executes on a device, comprising: storing, in a rules database, a plurality of rules, wherein each rule identifies an action sequence; storing, in a policy database, a plurality of assessment policies, wherein each assessment policy includes at least one rule of the plurality of rules; identifying, using at least one assessment policy, a runtime risk for an application program that executes on a device, wherein the identified runtime risk indicates a risk or threat of the identified action sequence of the application; and identifying, by a runtime monitor including a processing device, a behavior score for the application program that executes on the device based on the identified runtime risk, wherein the action sequence is a sequence of at least two performed actions, and each performed action is at least one of: a user action, an application action, and a system action.

143. Every '517 Accused Product practices a method for assessing runtime risk for an application program that executes on a device. For example, the Palo Alto Cortex XDR Malicious Process Prevention and Behavioral Threat Protection features assess runtime risk for applications that execute on endpoints.



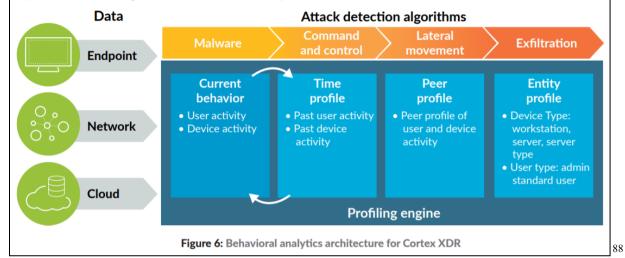
144. Every '517 Accused Product practices storing, in a rules database, a plurality of rules, wherein each rule identifies an action sequence. For example, Palo Alto Cortex XDR stores a plurality of behavior-related rules where each rule identifies an action sequence.

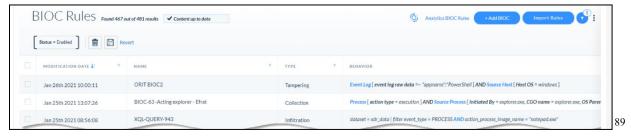
⁸⁷ https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf

Cortex XDR tracks more than 1,000 dimensions of behavior, including attributes that are nearly impossible to ascertain from traditional threat logs or high-level network flow data. It then profiles user and device behavior by taking advantage of:

- Unsupervised machine learning: Cortex XDR baselines user and device behavior, performs peer group analysis, and
 clusters devices into relevant groups of behavior. Based on these profiles, Cortex XDR detects anomalies compared to
 past behavior and peer behavior to detect malicious activity, such as malware behavior, command and control, lateral
 movement, and exfiltration.
- Supervised machine learning: Cortex XDR monitors multiple characteristics of network traffic to classify each device by type, such as a Windows® computer, an Apple iPhone®, a mail server, or a vulnerability scanner. Cortex XDR also learns which users are IT administrators or normal users. With supervised machine learning, Cortex XDR recognizes deviations from expected behavior based on the type of user or device, reducing false positives.

Cortex XDR uses a pre-compute detection architecture to maximize speed, efficiency, and accuracy. The pre-compute framework processes the data stored in Cortex Data Lake to calculate the values it needs for machine learning. Its detection algorithms analyze these precalculated values, rather than raw data, to find attacks more quickly and incorporate multiple inputs in its detection algorithms to detect attacks with precision.



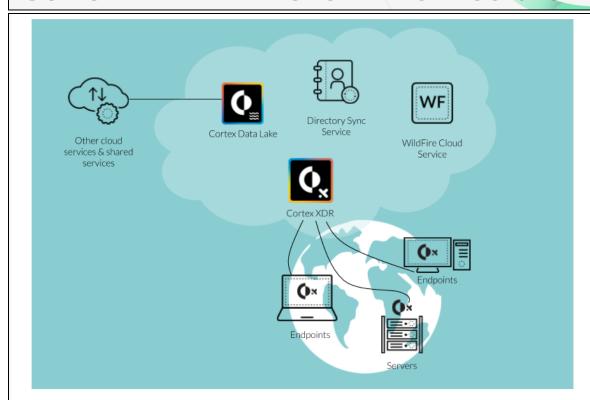


145. Every '517 Accused Product practices storing, in a policy database, a plurality of assessment policies, wherein each assessment policy includes at least one rule of the plurality of rules. For example, at least the Palo Alto Cortex XDR stores a plurality of assessment policies which comprise at least one rule of the plurality or rules.

⁸⁸ https://www.exclusive-networks.com/nl/wp-content/uploads/sites/21/2020/12/cortex-xdr-whitepaper.pdf

⁸⁹ https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/investigation-and-response/cortex-xdr-indicators/working-with-biocs/bioc-rules-details.html

Cortex® XDR™ Prevent Architecture



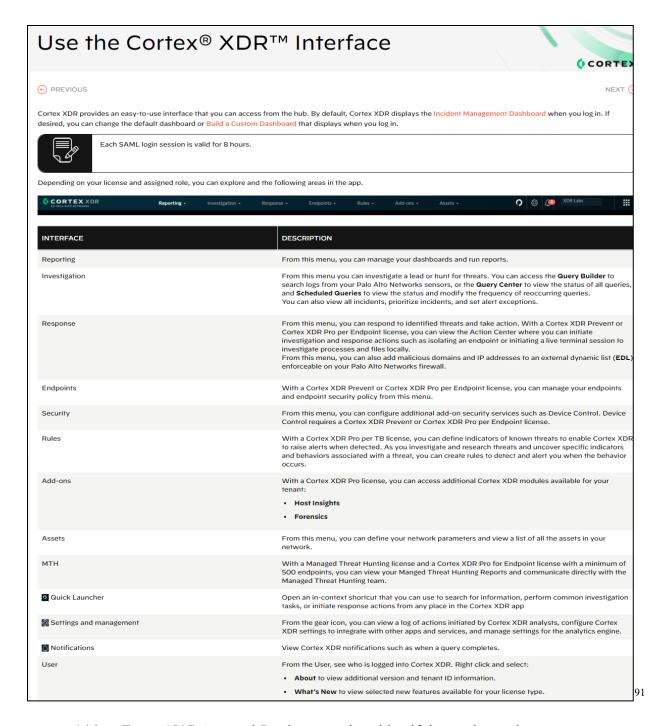
With Cortex XDR, Palo Alto Networks deploys and manages the security infrastructure globally to manage endpoint security policy for both local and remote endpoints and to ensure that the service is secure, resilient, up to date, and available to you when you need it. This allows you to focus less on deploying the infrastructure and more on defining the polices to meet your corporate usage guidelines.

Cortex XDR is comprised of the following components:

Cortex XDR web interface—A cloud-based security infrastructure service that is designed to minimize the
operational challenges associated with protecting your endpoints. From Cortex XDR, you can manage the endpoint
security policy, review security events as they occur, and perform additional analysis of associated logs.

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⁹⁰ https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-prevent-admin/cortex-xdr-prevent-overview/cortex-xdr-prevent-architecture.html



146. Every '517 Accused Product practices identifying, using at least one assessment policy, a runtime risk for an application program that executes on a device, wherein the identified runtime risk indicates a risk or threat of the identified action sequence of the application; and

⁹¹ https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/get-started-with-cortex-xdr-pro/use-cortex-xdr.html

identifying, by a runtime monitor including a processing device, a behavior score for the application program that executes on the device based on the identified runtime risk wherein the action sequence is a sequence of at least two performed actions, and each performed action is at least one of: a user action, an application action, and a system action. For example, Palo Alto Cortex XDR uses assessment policies to identify a runtime risk for an application program that executes on an endpoint. The identified runtime risk indicates a risk or threat of the identified action sequence of the application (*e.g.*, correlated rule or targeted attack campaign). Palo Alto Cortex XDR identifies a behavior score for the application program based on the identified runtime risk. The action sequence is a sequence of at least two performed actions and each action is at least one of a user action, an application action, and a system action.



 $^{^{92}\} https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin/investigation-and-response/cortex-xdr-indicators/working-with-biocs/bioc-rules-details.html$

Extended Detection and Response (XDR) Capabilities

XDR, or extended detection and response, describes detection and response systems that can ingest and analyze data from multiple sources, such as endpoint, network, and cloud. Gartner has listed XDR as one of the top security and risk management trends of 2020.

XDR is gaining traction rapidly among security operations teams not only because it helps consolidate the security technology stack and broaden visibility into the full scope of an attack, but also because it allows for better alerts. XDR can combine softer signals from multiple components to detect events that might otherwise be ignored. At the same time, XDR solutions can validate alerts by analyzing them with much broader context, resulting in higher fidelity. The net result of all this data stitching is that organizations have greater visibility, faster investigations, more comprehensive and more accurate alerts, and lots of good data feeding into their machine learning models to continue improving their analytics.

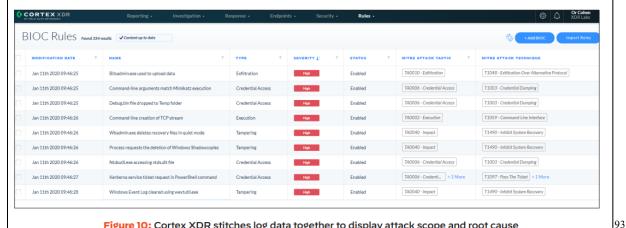


Figure 10: Cortex XDR stitches log data together to display attack scope and root cause

OCRTEX XDR O 🕸 💯 Kasey Cross XDR Labs * Incident and Agent Management ... * Incidents By MITRE Technique Open Incidents by Severity Total Incidents Aged Total Uni T1117 - Regsvr32 5 T1047 - Windows Mana 40 1929 T1490 - Inhibit System Recover **B** -T1204 - User Execution T1193 - Spearphishing Attachment Response Action Breakdown Top Incidents (Top 10) Top Hosts (Top 10) [• 15 • 21 • 2] 25 Figure 12: Cortex XDR dashboard

⁹³ https://cdw-prod.adobecqms.net/content/dam/cdw/on-domain-cdw/brands/palo-alto-networks/palo-alto-mitreattack-round-2-edr-evaluation.pdf

⁹⁴ https://www.exclusive-networks.com/uk/wp-content/uploads/sites/28/2021/07/cortex-xdr.pdf

147. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '517 Patent by knowingly and intentionally inducing others, including Palo Alto subsidiaries, customers, and end-users, to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States products that include infringing technology, such as the '517 Accused Products (*e.g.*, products that incorporate the Malicious Process Prevention and Behavioral Threat Protection features).

148. Counterclaim Defendant has and continues to indirectly infringe one or more claims of the '517 Patent by knowingly and intentionally inducing others to directly infringe, either literally or under the doctrine of equivalents, by making, using, offering to sell, selling, and/or importing into the United States the infringing Accused Products. For example, Counterclaim Defendant, with the knowledge that these products, or the use thereof, infringe the '517 Patent at least as of the date of Taasera Licensing's Complaint against Palo Alto on February 25, 2022, knowingly and intentionally induced, and continues to knowingly and intentionally induce, direct infringement of the '517 Patent by providing these products to customers and end-users for use in an infringing manner.

149. Counterclaim Defendant induced infringement by others, including end-users, with the intent to cause infringing acts by others or, in the alternative, with the belief that there was a high probability that others, including end-users, infringe the '517 Patent, but while remaining willfully blind to the infringement. Counterclaim Defendant provides detailed information about how to use the Accused Products in the Palo Alto TECHDOCS and Palo Alto Customer Support Portal websites. 95 Counterclaim Defendant provides product manuals and documentation that instruct customers and end-users how to use the Accused Products in an infringing manner,

 $^{^{95}}$ https://docs.paloaltonetworks.com/; https://docs.paloaltonetworks.com/cortex/cortex-xdr; https://support.paloaltonetworks.com/Support/Index

including specifically how to use the Malicious Process Prevention and Behavioral Threat Protection features. 96

- 150. Taasera Licensing has suffered damages as a result of Counterclaim Defendant's direct and indirect infringement of the '517 Patent in an amount to be proved at trial.
- 151. Taasera Licensing has suffered, and will continue to suffer, irreparable harm as a result of Counterclaim Defendant's infringement of the '517 Patent, for which there is no adequate remedy at law, unless Counterclaim Defendant's infringement is enjoined by this Court.

DEMAND FOR JURY TRIAL

Counterclaim Plaintiff hereby demands a jury for all counterclaim issues so triable.

PRAYER FOR RELIEF

WHEREFORE, Taasera Licensing prays for relief against Counterclaim Defendant as follows:

- a. Entry of judgment declaring that Counterclaim Defendant has directly and/or indirectly infringed one or more claims of each of the Patents-in-Suit;
- b. An order pursuant to 35 U.S.C. § 283 permanently enjoining Counterclaim Defendant, its officers, agents, servants, employees, attorneys, and those persons in active concert or participation with it, from further acts of infringement of the Patents-in-Suit;
- c. An order awarding damages sufficient to compensate Taasera Licensing for Counterclaim Defendant's infringement of the Patents-in-Suit, but in no event less than a reasonable royalty, together with interest and costs;
 - d. Entry of judgment declaring that this case is exceptional and awarding Taasera

⁹⁶ https://docs.paloaltonetworks.com/cortex/cortex-xdr; https://docs.paloaltonetworks.com/cortex/cortex-xdr/cortex-xdr-pro-admin;

https://docs.paloaltonetworks.com/search#q=behavioral%20 threat%20 protection&sort=relevancy&layout=card&numberOfResults=25

Licensing its costs and reasonable attorney fees under 35 U.S.C. § 285; and,

e. Such other and further relief as the Court deems just and proper.

Dated: October 31, 2022 Respectfully submitted,

/s/ Alfred R. Fabricant

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ATTORNEYS FOR PLAINTIFF TAASERA LICENSING LLC

CERTIFICATE OF SERVICE

The undersigned hereby certifies that, on October 31, 2022, all counsel of record who are deemed to have consented to electronic service are being served with a copy of this document via the Court's CM/ECF system per Local Rule CV-5(a)(3).

/s/ Alfred R. Fabricant
Alfred R. Fabricant